

Guidelines on the Regional-National Investment Programming Dialogue

I. Background/Rationale.

The Regional-National Investment Programming (RNIP) Dialogue aims to facilitate the linking of the Regional Development Investment Program (RDIP) to the Public Investment Program (PIP) through aligning regional priorities with national/sectoral priorities. The Agency Central Offices (ACOs) and Regional Development Councils (RDCs) are expected to harmonize their respective sectoral and regional development plan targets and corresponding timelines over the PIP-RDIP period. The ACOs and RDCs shall identify the corresponding priority programs and projects (PAPs) in the regions. These shall be included by the ACOs in their submission during the updating of the PIP 2023-2028 as input to the FY 2025 budget preparation.

II. Specific Objectives. The Dialogue aims to facilitate the following:

- a. The ACOs and the regions initiating the **harmonization of their regional sectoral targets, as determined in the respective Results Matrices (RM) of the Philippine Development Plan (PDP) 2023-2028¹ and development targets;**
- b. The ACOs and the regions identifying a harmonized list of **PAPs responsive to the regional sectoral targets (with agreed-upon implementation period)** based on Regional Development Plan (RDP) and Regional Development Investment Program (RDIP); and
- c. The ACOs, RDCs, and NEDA agreeing on the **harmonized timelines for the national and regional investment programming processes (investment programming calendar).**

III. Coverage

This Call covers twelve (12) pilot national government agencies which were selected based on the top agencies which have the highest region-specific investment targets based on the formulated PIP 2023-2028 and as recommended by the NROs representing the Area Committees.

¹ <https://neda.gov.ph/pdp-2023-2028-results-matrices/>.

The concerned ACOs are required to participate in the Dialogue as part of the preparatory works for the upcoming updating of the PIP 2023-2028.

IV. Participants and Roles

- a. **Selected ACOs** to participate in the preparatory activities and Dialogue proper. These offices shall be represented by the officials authorized by the Head of Agency. The representative should be an Undersecretary, at least, and preferably from the planning office. Kindly note that representatives are only required to come to the activity **on the day of their presentation**.

The ACO PIP Focals which were previously authorized during the PIP 2023-2028 formulation in October 2022 will also serve as the RNIP Dialogue Focals of the ACOs (*see Annex A*)².

The ACOs selected to participate in the RNIP Dialogue process are the following:

1. Department of Agriculture
2. Department of Education
3. Department of Energy
4. Department of Environment and Natural Resources
5. Department of Health
6. Department of Human Settlements and Urban Development
7. Department of Information and Communications Technology
8. Department of the Interior and Local Government
9. Department of Public Works and Highways
10. Department of Science and Technology
11. Department of Social Welfare and Development
12. Department of Transportation

- b. **The RDCs** to undertake preparatory activities and participate in the Dialogue proper.

² Directory of Agency PIP/TRIP Focals for the Formulation of the PIP 2023-2028 and TRIP for FY 2024-2026 as Input to the FY 2024 Budget Preparation.

- c. **NROs**, as RDC Secretariat, to provide technical support to the RDCs in delivering the required outputs for the Dialogue, and coordinate with the RNIP Secretariat for technical and administrative concerns. The NRO Focals which were previously authorized during the PIP 2023-2028 formulation in October 2022 will also serve as the RNIP Dialogue focals of the NROs.
- d. **NEDA – Investment Programming Group (IPG) and Regional Development Group (RDG), with the Public Investment Staff and Regional Development Staff as focals**, shall serve as the Joint Secretariat for the RNIP Dialogue. The RNIP Secretariat shall also provide technical assistance to the NROs during the preparatory activities. The IPG and RDG Undersecretaries to provide overall guidance on the conduct of the RNIP Dialogue.
- e. **Concerned NEDA Sector Staffs**, as PIP Chapter Focals, may be requested to act as resource persons, as needed.

V. Coordination Mechanism

- a. The RNIP Secretariat shall set up a coordination mechanism with the RNIP Dialogue focals to facilitate the pre-, onsite, and post-activities of the Dialogue.
- b. The ACOs and RDCs are requested to submit to the RNIP Secretariat the names and designations of their confirmed participants for the Dialogue **on or before June 19, 2023** (see *Annex B: Template for the List of Confirmed Participants for the RNIP Dialogue*). The allowed number of participants per ACO and per RDC are as follows:

	ACO	RDC/NRO
No. of participants	Undersecretary (1) PIP Focals (2)	RDC Chairperson/Co-Chairperson (1) Vice-Chairperson (1) NRO PIP Focal (2)

- c. Other interested participants may tune in to the Dialogue through an online streaming platform to be provided by the Secretariat.

VI. RNIP Dialogue Process

a. Prerequisites and Preparatory Activities for the Dialogue

Prior to the Dialogue, the concerned ACOs and RDCs, through the NROs, shall conduct and prepare the following activities and documents (templates for the outputs are also provided):

i. ACOs to prepare their plan targets per region.

Deadline of submission: June 23, 2023

The ACOs, in coordination with their respective Agency Regional Offices (AROs), if applicable, shall prepare the regional disaggregation of their national sectoral outcome targets for their priority sectors as identified in the PDP and its RM. [Attached as **Annex C** is the template for the ACOs' plan targets per region]. This may be supplemented/supported by a regional disaggregation of targets in the respective agency development plans. The ACOs shall transmit the same to the RDCs, copy furnished the NROs and RNIP Secretariat, prior to the preliminary technical meetings which will be discussed in detail in Item VI.a.iv.

ii. RDCs' top 30 priority regional PAPs.

Deadline of submission: June 30, 2023

Upon receipt of the Call, the RDCs, through the NROs, shall identify its 30 priority regional PAPs (i.e., standalone region-specific PAPs or interregional PAPs) based on the following:

1. Responsiveness to the PDP RM targets (2023-2028). These 30 PAPs may be culled from the available regional plans [e.g., RDIPs, Annual Investment Plans (AIPs), masterplans, and sectoral plans];
2. Responsiveness to the sectoral targets per region to be provided by the ACOs;
3. Compliance with the criteria for inclusion in the PIP (i.e., responsiveness, typology, and readiness) (see **Annex D, PIP Inclusion Criteria**) and endorsed by the concerned RDCs.

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[Attached as **Annex E** is the template for the List of Top 30 PAPs];
and

4. Implementation readiness based on the readiness criteria under Item No. A.2 of Annex D. Please note that for this activity, only Tier 2³ PAPs shall be included in the priority list.

The Regional Development Council (RDC) shall provide a concise justification on the cruciality of each project. The justification should encompass various factors, including but not limited to⁴:

1. *Potential foregone benefits (economic, social, and environmental, among others) if the project remains unimplemented;*
2. *Synergy with ongoing and future government PAPs:* This includes the positive impact from collaboration between the national and regional government; and
3. *Evident demand from various stakeholders for the project:* This can include statistics, surveys, or feedback indicating the pressing need for the project, as well as its potential benefits to the target population or sector.

The RDCs shall submit the top 30 priority PAPs to the concerned ACOs, copy furnished the RNIP Secretariat. In relation to the RDC approval of priority PAPs, an approval of the RDC Executive Committee may suffice in lieu of the full RDC.

- iii. **ACOs' response/s to the regions' proposed priority PAPs for inclusion in the agency PIP.**

Deadline of submission: July 7, 2023

ACOs shall prepare its responses to the RDCs' proposed list of priority PAPs. The ACOs shall also share the same in advance with the RDCs, copy furnished the NROs and the RNIP Secretariat, in

³ New or Expanded PAPs as provided in Two Tier Budget Approach (2TBA) of the Department of Budget and Management: <https://www.dbm.gov.ph/index.php/budget-documents/2017/guide-to-the-two-tier-budget-approach-2tba>.

⁴ By providing a well-rounded justification, encompassing these factors, the RDC can effectively advocate for the national government's acceptance and implementation of each project.

preparation for the ACO-RDC technical meetings. [Attached as **Annex F** is the template for the ACO's responses].

iv. **Preliminary technical meetings between ACOs and RDCs, through their Sectoral Committee, and ACO presentation.**

Deadline of submission: July 21, 2023

Upon transmittal of ACO's responses to the RDCs' proposed priority PAPs, the NROs shall arrange technical meetings between select ACOs and the RDC Sectoral Committees, to be held within the 2nd and 3rd weeks of July, to discuss and agree on the regional priority PAPs leading to the Dialogue.

The ACOs and RDC Sectoral Committees shall discuss any concerns in relation to the ACOs' acceptance of regional PAPs into their PIP, and prepare the agreed list of priority PAPs with tagging based on the following:

1. Regional PAPs that can be included in the agency PIP; and
2. Regional PAPs to be elevated for further discussion in the Dialogue:
 - a. Regional PAPs that were not included in the agency PIP and the reason for non-inclusion; and
 - b. Regional PAPs that may still be included but subject to further assessment of the ACO.

After the technical meetings, the ACOs shall prepare a presentation of the regional sectoral targets and shortlisted Agency priority PAPs per region. The ACO shall present the results of the technical meetings during the Dialogue, including updates on PAPs under further assessment for inclusion in the Agency PIP.

All ACOs shall submit their presentations to the RNIP Secretariat by July 21, 2023. [Attached as **Annex G** is the template for the ACOs' presentation].

b. **Mechanics of the Dialogue Proper**

The RNIP Dialogue Proper is scheduled to take place during the 4th week of July 2023 in Metro Manila. The RDC Chairperson, or in

his/her absence, the Co-Chairperson, is expected to participate during the entire session.

Below are the detailed mechanics for the Dialogue proper:

- i. **ACO-RDC Discussions** – The ACOs shall present the regional sectoral targets with the list of priority PAPs for inclusion in the Agency PIP, resulting from the meetings. Afterwards, concerned RDCs shall be given the opportunity to appeal for reconsideration of the regional PAPs which were not included in the Agency PIP.

During the discussions, the ACOs and RDCs will endeavor to reach a definitive agreement on the regional PAPs to be included in the agency PIP. Discussions on specific projects may continue beyond the Dialogue for future consideration.

- ii. **Dialogue culmination** – At the end of the Dialogue, the RDCs and ACOs shall sign a pledge of commitment containing the following:

1. The list of regional priority PAPs to be included in the Agency PIP [hereby called as the **Priority Agency Regional-National Investment Programs and Projects (ARNIPAPs)**]; and
2. The list of regional PAPs for further discussion between the ACO and RDC.

In relation to item VI.b.ii.2, should the ACO favorably consider additional PAPs submitted by the RDC, they shall formally advise the concerned RDC, copy furnished the RNIP Secretariat, **prior to the commencement of the PIP Updating as input to FY 2025 Budget Preparation.**

VII. PIP 2023-2028 Updating as input to FY 2025 Budget

- a. **Agency submission in the PIP Online (PIPOL) System.** The ACOs shall include the agreed-upon Priority ARNIPAPs in the PIP.
- b. **NEDA Secretariat validation of PAPs.** The NROs and NEDA PIP Chapter Focals shall validate the inclusion of the Priority ARNIPAPs in the PIPOL System. The NROs and/or NEDA PIP Chapter Focals will

coordinate with the agencies on the submission of missing ARNIPAPs within a prescribed timeline.

- c. **Planning Committee (PC) confirmation of NEDA-validated PIP Chapters.** The NEDA PIP Chapter Focals will report on the missing ARNIPAPs to the PCs. Agencies failing to include the agreed ARNIPAPs in their PIP submission shall be requested to provide justification to the PC. The PC may instruct the concerned ACO to include missing ARNIPAPs in its PIP submission. The PC Secretariat shall then advise the ACOs and RDCs on the result of the PC decision on the ARNIPAPs.

VIII. Indicative Calendar of Activities

Timeline	Activity	Concerned Offices
Part I: Conduct of the RNIP Dialogue		
2 nd week of June 2023	NEDA issuance of Call for the Conduct of the RNIP Dialogue	NEDA
Preparatory activities for the RNIP Dialogue		
3 rd week of June	Briefing to the ACOs and RDCs on the Conduct of the RNIP Dialogue	NEDA
3 rd to 4 th week of June	<ul style="list-style-type: none"> I. ACOs preparation and submission of targets per region to the concerned RDCs II. RDCs preparation of their region's top 30 priority PAPs based on the ACOs' targets and submission to the ACOs 	ACOs, AROs RDCs, NROs
1 st week of July	ACOs preparation of responses to the regions' proposal	ACOs, AROs
2 nd to 3 rd week of July	ACOs and RDCs conduct of preliminary technical meetings to discuss the regional priority PAPs	NCO, ACOs, NROs/RDC Secretariats
4 th week of July	Conduct of the Regional-National Investment Programming Dialogue	NEDA, ACOs, RDCs, NROs
Part II: Updating of the PIP 2023-2028 as Input to the FY 2025 Budget Preparation		

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August 2023	NEDA issuance of the PIP Updating Guidelines	PIP Secretariat
	Registration of the authorized Agency PIP focals through the PIP Online (PIPOL) System	ACOs, PIP Secretariat
September-October 2023	ACOs submission of priority PAPs through PIPOL System	ACOs
October-November 2023	NEDA validation of the PIP submission	NROs, NEDA Chapter focals
December 2023	Planning Committee confirmation of list of PAPs	NCO Chapter focals, Planning Committees
December 2023	Finalization and submission of the Updated PIP 2023-2028 to DBM as input to the FY 2025 budget preparation	PIP Secretariat

IX. Supplemental Guidelines/Advisories

The RNIP Secretariat shall issue a separate notice on the details of the Dialogue. If the need arises, the Secretariat may issue supplemental advisory consistent with these guidelines.

ANNEXES:

1. Annex A: Directory of Agency PIP/TRIP Focals for the Formulation of the PIP 2023-2028 and TRIP for FY 2024-2026 as Input to the FY 2024 Budget Preparation
2. Annex B: Template for the List of Confirmed Participants for the RNIP Dialogue
3. Annex C: RNIP Dialogue Preparatory Activities – ACO Plan Targets Template
4. Annex D: Criteria for Inclusion of PAPs in the PIP 2023-2028
5. Annex E: RNIP Dialogue Preparatory Activities – RDC Top 30 PAPs Template
6. Annex F: RNIP Dialogue Preparatory Activities – ACO Response Template
7. Annex G: RNIP Dialogue Proper – ACO Presentation Template