

# Republic of the Philippines DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

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(Date of Approval)

DENR ADMINISTRATIVE ORDER NO. 2023 - \_\_\_\_

**SUBJECT** 

COMPREHENSIVE RULES ON APPEALS TO THE OFFICE OF THE SECRETARY

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Pursuant to the relevant provisions of Executive Order No. 292, s. 1987 dated 25 July 1987, otherwise known as the "Administrative Code of 1987", Executive Order No. 192, s. 1987 dated 10 June 1987, or the "Reorganization Act of the Department of Environment and Natural Resources", Executive Order No. 366 dated 04 October 2004, "Directing a Strategic Review of the Operations and Organizations of the Executive Branch and Providing Options and Incentives for Government Employees who may be Affected by the Rationalization of the Functions and Agencies of the Executive Branch", Republic Act No. 11032 or "the Ease of Doing Business and Efficient Government Service Delivery Act of 2018" and 2017 Rules on Administrative Cases in the Civil Service (RACCS), the following Rules on Appeal (RoA) to the Office of the Secretary is promulgated for the compliance and guidance of all concerned.

## RULE I. PRELIMINARY PROVISIONS

 **SECTION 1. Declaration of Policy.** In the interest of the expeditious and efficient administration of justice, and adjudication in the exploration, development, utilization, and conservation of the country's natural resources, forest lands, alienable and disposable public lands, lands of public domain, mineral resources, it is the policy of the Department to:

**1.1.** Institute reforms that would transform the Department into an efficient and results-oriented structure to attain improved government performance.

**1.2.** Take appropriate measures to promote transparency encompassing a program for the adoption of simplified requirements and procedures that will reduce red tape and expedite business and nonbusiness related transactions in government.

**1.3.** Promote integrity, accountability, proper management of public affairs and public property as well as to establish effective practices, aimed at efficient turnaround of the delivery of government services and the prevention of graft and corruption in government.

1	SECTIO	N 2. Objectives. These rules aim:
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3 4 5 6	2.1.	To provide for the orderly and expeditious administration of justice in cases appealed to the Office of the Secretary (OSec) and Office of Origin (OO).
7 8 9 10 11	2.2.	To provide a set of rules that is appropriate to the particular legal circumstances brought before the OSec and the OO, with consideration to the proportionate nature of the matter and designed to expedite the resolution of disputes and disposition of cases before the Department.
12	2.3.	To employ a uniform and undated annual process at the Ocean and
13 14 15 16	2.3.	To employ a uniform and updated appeal process at the OSec and related procedures at the OO that will facilitate and promote access to justice and speedy disposition of ENR cases, including administrative "disciplinary" cases within the appellate jurisdiction of the OSec.
17 18 19 20	and procedure l	<b>N 3. Scope and Coverage.</b> These Rules shall govern pleadings, practice, before the OSec and OO; and shall cover all judgment issued by the Heads ver which the OSec has appellate jurisdiction.
21		RULE II.
22		DEFINITION OF TERMS
23		DEFINITION OF TERMS
24 25 26 27 28	public land law by the Departm	<b>N 4. Definition of Terms.</b> The terms and phrases defined in existing s, environmental laws, rules, and regulations implemented and enforced tent shall be given the same meanings when used herein. As used herein, erms shall mean:
29 30 31	4.1.	Administrative "Disciplinary" Cases - Those when the HOO issues a formal charge or notice of charge to the respondent for administrative liability.
32 33 34 35	4.2.	Appeal - a legal proceeding by which a decision, resolution, order, or award of the HOO is brought before the OSec for review.
36 37 38 39 40 41 42 43 44 45	4.3.	Appeal Memorandum - a pleading submitted by the Appellant to the OO, copy furnished the Appellee, which provides for a concise statement of facts, the alleged issues and/or assignment of errors on the Decision of the OO on whom the OSec has appellate jurisdiction, the legal bases supporting the position that the alleged issues and/or assignment of errors, which, if meritorious, would warrant the reversal or modification of the assailed Decision, Order, Resolution, or Award, and the prayer containing the relief/s that the Appellant is seeking from the OSec.
46 47 48 49	4.4.	<b>Appellant</b> - The party appealing a decision, resolution, order, or award of the HOO to the OSec to nullify, reverse or modify the issuance of the HOO.

Appellee - A party who has won a judgment in a dispute, proceedings or favorable findings before the OO in an administrative proceeding.

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The party against whom the appeal is filed and who responds to the appeal generally wants the OSec to agree with the OO's disposition.

- **4.6.** *Award* refers to the instrument issued by the Secretary, HOO or duly authorized officer as a result of the application.
- **4.7. Decision** an issuance by the Secretary or HOO or duly authorized officer reaching a conclusion after an evaluation of facts and law. It includes final judgments, rulings, and interlocutory or provisional orders rendered within their respective authority and jurisdiction.
- **4.8.** *Dilatory* (*pleadings*) pleadings that are intended to cause delay.
- **4.9.** *Electronic-Filing or E-Filing* the process of electronically filing pleadings and other submission with the OO through the Legal Division or OSec through the Legal Affairs Service (LAS) pursuant to guidelines issued by the Department.
- **4.10.** *Environment and Natural Resources (ENR) cases* mining, environment, forestry, lands, biodiversity, and other cases where the DENR has jurisdiction.
- **4.11.** *Judgment* Shall refer to the decision, resolution, order, award, or related issuance that completely disposes of the case by the HOO or the OSec having jurisdiction over the matter.
- **4.12.** *Indigent Litigant* a party who is permitted to avail of the remedy available to him/her without paying the appeal fee under these Rules.
- **4.13.** *Interlocutory Order* refers to an order or directive, given by the Secretary or HOO or duly authorized officer, given in an intermediate stage between the commencement and termination of a cause of action, proceeding or appeal that does not completely disposes of the case, or of a particular matter therein but used to provide a temporary or provisional decision on an issue.
- **4.14.** *Intervenor* A person who has a legal interest in the case, or in the success of either of the parties, or an interest against both, or is so situated as to be adversely affected by a distribution or other disposition of property which is the subject matter of the case.
- **4.15.** Legal Affairs Service Records Officer (LARO) a duly designated legal records officer exclusively for the Legal Affairs Service, DENR Central Office records management processes in LAS and record-keeping of all the cases filed before the OSec.
- **4.16.** Legal Records Officer (LRO) a duly designated legal records officer exclusively for the legal division of the OO who shall be responsible for the records management processes in Legal Division of the OO managing records but not limited to registering records, record-keeping until final disposition of all the cases filed before the OO.

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- **4.17.** *Motion for Reconsideration (MR)* A motion for reconsideration or motion to reconsider is a legal filing which someone can make to ask a court to review a decision and consider issuing a new decision in light of the review.
- **4.18.** Office of Origin refers to the DENR Regional Office, Bureaus, or attached agency, which rendered the decision, resolution, order, or award and other issuance appealed from.
- **4.19.** *Head of Office of Origin (HOO)* shall refer to the Regional Executive Directors, Regional Directors, Directors, Executive Directors, Administrators and duly authorized officers of Bureaus, attached agencies of the Department.
- **4.20.** *Order* every direction or mandate directing that something be done or that there is prohibition against some act issued by the Secretary, HOO or duly authorized officer in matters falling within his or her jurisdiction.
- **4.21.** *Resolution* a conclusion reached after considering the facts and arguments raised in a motion for reconsideration.
- **4.22.** Status Quo Order an order which may be issued by the OO or by the OSec to prevent any of the parties involved in a dispute from taking any action until the matter can be resolved.
- **4.23.** *Duly Authorized Officer* an officer or employee of the Department designated by written order from the Secretary or Head of Office to act on their behalf in carrying out the provisions of these rules.

#### RULE III.

# PROCEDURE AT THE OFFICE OF ORIGIN BEFORE APPEAL TO THE OFFICE OF THE SECRETARY

**SECTION 5. Finality of Judgment from the OO.** The judgment by the HOO shall become final and executory after the lapse of fifteen (15) days from receipt of a copy thereof by the parties to the case, unless a Motion for Reconsideration or Appeal in accordance with Sec. \_\_ of these Rules is filed with the OO within the prescribed period.

The same provision shall apply to administrative cases, except: (1) when the penalty imposed is dismissal from the service which shall be final and executory only upon confirmation by the Secretary; (2) when the penalty imposed is reprimand, or suspension for not more than 30 days, or fine not exceeding 30 days salary which can be the subject of a motion for reconsideration and not appeal, when the period for the filing of such motion has lapsed; and (3) when the case has been decided by the head of the attached agency which requires only exhaustion of all administrative remedies within the said agency.

All judgment rendered by the HOO shall indicate at the last page a notation regarding the filing of appeal as provided in *Form No. 1. Notation on the Decision attached as Annex "A"*.

1	SECTIO	N 6. Issuance of Certificate of Finality of Judgment. The HOO or his or
2	ner duly author	rized representative shall issue <i>motu proprio</i> or upon motion of the party,
3	a Certificate of F	Finality (Form No. 2 Certificate of Finality of Judgment attached as Annex
4	" $B$ ") when the	aggrieved party fails to file the appeal within the reglementary period
5	provided in the	se Rules or when the appeal has not been perfected in accordance with
6	Sections, R	tule, of these Rules.
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8	SECTIO	N 7. Motion for Reconsideration. Only one (1) MR by the aggrieved shall
9	be allowed and	entertained from the judgment of the HOO which shall be filed within
10	fifteen (15) days	from receipt thereof, with proof of service to the other party.
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12	The perio	od of appeal to the OSec shall be interrupted upon the filing of the MR.
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14	If an MR	is denied, the party who filed the motion shall have the right to perfect
15	his or her appea	al for a FRESH PERIOD of fifteen (15) days, reckoned from receipt of the
16	denial of the MI	R and ten (10) days to file an appeal memorandum without any issuance
17	from the said O	ffice.
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19	If the jud	dgment is reversed on reconsideration, the aggrieved party shall have
20	fifteen (15) days	from receipt of the resolution of reversal to file requirements on appeal
21	under Section _	_, _ & _ and ten (10) days to file an appeal memorandum to perfect his or
22	her appeal to th	e OSec.
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25		RULE IV.
26		APPEAL TO THE OFFICE OF THE SECRETARY
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28	SECTIO	N 8. Subject of Appeal. An appeal may be taken from a judgment by the
29	HOO having jus	risdiction over the subject matter to the OSec.
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31	SECTIO	N 9. Judgment not subject of Appeal to the OSec. The following are not
32	subject of Appea	al to the OSec:
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34	9.1.	Interlocutory Order;
35	9.2.	Clarificatory Orders/Issuances;
36	9.3.	Original Letters/Petitions/Protest that did not go through the initial
37		evaluation process by the OO;
38	9.4.	Decision of HOOs with specific Rules and Procedure on Appeal
39		pursuant to a law;
40	9.5.	Administrative Penalty of Reprimand, suspension for not more than 30
41		days or fine not exceeding 30 day salary is imposed; or,
42	9.6.	Decisions of Heads of Attached Agencies in administrative cases.
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14	SECTIO	N 10. Grounds for Filing an Appeal. An appeal may be taken on any of
45	the following gr	rounds:
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<b>1</b> 7	10.1.	Cases involving questions of law with regard to ENR cases;
48		No substantial evidence to support the judgment, tenurial instrument,
19		or related issuance of the OO;
50	10.3.	Cases involving mixed questions of fact and law with regard to ENR
51		cases;

**10.4.** Abuse of discretion by the HOO that issued the assailed judgment; or

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1 2 3	10.5.	Judgment of the HOO was secured through fraud, undue influence, and duress.
5 5 6	<b>SECTION 11. Appeal, how taken.</b> An appeal may be taken from a judgment by the HOO, by filing within fifteen (15) days from receipt of the same with the OO, the following:	
7 8 9 10	11.1.	<b>Notice of Appeal</b> with proof of service to the other party, or HOO in administrative cases or non-adversarial ENR cases such as but not limited to administrative confiscation proceedings) ( <i>Form No. 3 _: Notice of Appeal attached as Annex "C"</i> )
11 12 13 14 15 16	11.2.	Official Receipt of the Appeal Fee. Payment of appeal fee in the amount of P1000.00 within the period provided above shall be paid to the OO or as will be prescribed by succeeding administrative order on uniform fees and charges of the Department. Proof of payment in the form of the Official Receipt issued by the Cashier of the OO and shall be attached to the Notice of Appeal.
17 18 19 20 21	11.3.	<b>Appeal Memorandum</b> . Without any further order from the OO , an Appeal Memorandum shall be filed by the aggrieved party with the OO within ten (10) days from the filing of the Notice of Appeal and payment of Appeal Fee with proof of service to the other party.
22 23 24 25 26	section shall be oparty/appellant	N 12. Perfection of Appeal. The 3 requirements stated in the preceding complied with for the Appeal to be perfected. The failure of the aggrieved to comply with any of the requirements above mentioned shall be a dismissal of the appeal.
27 28 29 30	which requires	istrative cases where the penalty imposed is dismissal from the service the confirmation of the Secretary, the appeal shall be automatic without ply with the 3 requirements as stated above.
31 32 33 34		is not perfected, the HOO, motu proprio, or on motion by the party shall dismissing the Appeal and declaring the assailed decision as final and
35 36 37		on for extension of time shall be allowed in perfecting the appeal. (Form of Appeal and Final and Executory Order attached as Annex "D")
38 39 40 41 42	an exemption from Section 12, Rule	N 13. Exemption from Paying the Appeal Fee. A party may request for om the payment of appeal fee with the OO within the period provided in a III hereof of perfecting an appeal upon execution of an Affidavit of the submission of <u>any</u> of the following:
43 44	13.1.	Certification from the Department of Social Welfare and Development (DSWD) that a party is an indigent;
45 46	13.2.	Certification from the Integrated Bar of the Philippines Legal Aid Program that it is representing an indigent party; or
47 48	13.3.	Certification from the Public Attorney's Office that it is representing an indigent party.

1 2	13.4.	Barangay Certification of Indigency issued by the Brgy Chairman where the applicant resides.
3 4	13.5.	Respondent in an administrative case where the penalty imposed is dismissal from service.
5 6 7 8	approve the re	O or its duly authorized officer shall immediately and motu proprio equest for exemption upon showing of any of the aforementioned rm No. 5 Approval or Denial as Indigent Litigant attached as Annex "E")
9 10 11 12	memorandum t	<b>N 14. Appeal Memorandum, Form and Contents</b> . The appeal to be filed with the Legal Division, OO to perfect an appeal to the OSec two (2) copies and shall contain the following:
13	14.1.	Full name or names, capacity, and address or addresses of the parties;
14	14.2.	Material dates showing the timeliness of the appeal;
15 16 17	14.3.	Concise statement of facts and matters involved, the issues raised, the specification of errors allegedly committed by the OO, and the reasons or arguments relied upon for the allowance of the appeal;
18	14.4.	Judgment or part thereof appealed from;
19 20	14.5.	Accompanied by a clearly legible duplicate original or a certified true copy of the decision/resolution/order being appealed; and,
21 22 23	14.6.	If represented and signed by counsel, must indicate address, phone and email address, IBP Receipt Number, PTR Number and Roll No, and recent MCLE Compliance.
24 25 26	In filing to A.M. No. 11-9-4	the Appeal Memorandum, the Efficient Use of Paper Rule provided in of the Supreme Court shall apply.
27 28	<b>SECTION</b> shall be filed on	<b>N 15. Manner of Filing.</b> Requirements in Section (4) and (6) of this Rule, any of the following manner:
29 30 31 32	15.1.	If <b>filed personally</b> , it shall be deemed filed on the date and time stamped received by the <b>Legal Records Officer</b> , <b>Legal Division</b> of the OO;
33 34	15.2.	If filed by <b>registered mail</b> , it shall be deemed filed on the date of mailing stamped by the post OO as evidenced by the registry receipt;
35 36 37	15.3.	If filed through a <b>private courier service</b> , it shall be deemed filed on the date and time as actually sent through the service with document tracking attached in the undertaking of service.
38 39 40 41 42	15.4.	If filed through e-filing, appeal shall be deemed filed on the date and time of transmittal of the requirements in Section _ and _ of these Rules , together with the E-filing Form No. 6 Motion To File Electronically and attached documents. (Form No. 6 : E-Filing Form; Motion to File Electronically attached as Annex "F")

Official Email address for the OO where efiling be made shall be provided as notation on the decision, resolution, award issued. Immediately upon receipt of the email, the LRO shall immediately determine and validate compliance to the requirement under the above rules.

For validation and reference, the hard copy of the original documents and extra 1 copy shall be filed within 5 days from date of e-filing in any manner mentioned in subparagraph a, b and c of this section.

In any manner of filing provided above, parties shall include filing the original copies thereof, and 1 extra copy and proof that opposing party/parties has been copy furnished.

To further enhance and provide specific guidelines on the e-filing process, the Knowledge Information System Services, Records Management Division and Legal Affairs Service of the DENR Central Office (LAS-CO) shall develop an e-filing system and guidelines for the purpose of implementing the e-filing provisions of these Rules in accordance with the records management process provided in Republic Act No. 9470 or the National Archives of the Philippines Act of 2007 and its Implementing Rules and Regulations

When appeal has been perfected and records of the case have been transmitted by the OO to the OSec on appeal, subsequent pleadings, motions and other papers or documents shall be filed in any of the manner provided above with the:

#### The Director

Legal Affairs Service, DENR Central Office. Visayas Avenue, Diliman, Quezon City 1100

### **SECTION 16. Effect of Appeal**. The following are the effect of Appeal:

- **16.1.** When appeal has been perfected, the OO loses jurisdiction over the case and the execution of the judgment appealed from is stayed.
- **16.2.** When the records have been transmitted to the OSec, through the Legal Affairs Service, the Secretary or his/her duly authorized representative may issue motu proprio or upon motion by parties a **Status Quo Order** on valid and legal grounds pending resolution of the appeal.
- **16.3.** When appeal has been perfected and records of the case have been transmitted by the OO OOto the OSec on appeal, subsequent pleadings, motions and other papers or documents shall be filed with: the The Director, Legal Affairs Service, DENR Central Office, Visayas Avenue, Diliman, Quezon City 1100

**SECTION 17. Residual Jurisdiction of the OO.** After the perfection of the appeal but prior to the transmittal of the original records of the case to the O Sec, the OO shall have a residual jurisdiction and may issue the following:

**17.1.** Status Quo Order [1]as defined herein and per motion of the parties for the protection and preservation of the rights of the parties which do not involve any matter litigated in the appeal;

2 3 4 5 6 7	17.2.	to, administrative cases and administrative confiscation proceedings, Order of Compromise Agreement approving the compromise agreement submitted by the parties after the decision, resolution, order, award, tenurial instrument, or related issuance appealed from was issued and in accordance with existing laws, rules, and regulations, and as defined in these Rules and Procedure; and
8 9	17.3.	Order of Withdrawal of the appeal upon proper motion by the appellant.
10 11 12 13 14 15	appeal, the OO	<b>N 18. Transmittal of Records.</b> Within 30 days from the perfection of the shall issue an <b>Order</b> stating that the Appeal was perfected and transmit rough the Office of the Director, Legal Affairs Service the following
16 17 18	18.1.	Notice of Appeal with proof of service to the other party, or HOO in non-adversarial cases including, but not limited to administrative cases and administrative confiscation proceedings;
19 20 21	18.2.	Official Receipt of the appeal fee as prescribed in these Rules and Procedures or approval as indigent litigant by the head of the OO or its duly authorized officer.
22 23 24	18.3.	Appeal Memorandum with proof of service to the other party, or HOO in non-adversarial cases including, but not limited to administrative cases and administrative confiscation proceedings;
25 26 27 28 29 30	18.4.	Summary of Proceedings from the filing of the initiatory pleading or the equivalent action document before the OO up to the transmittal to the OSec in chronological order indicating the actions taken, incidents resolved, and listing of all pleadings, motions, manifestations, annexes, exhibits, and other papers or documents filed by the contending parties, the corresponding orders, resolutions, and decisions;
31 32	18.5.	Complete and clear records of the case with each page consecutively numbered, eartagged, and initialed by the legal records officer;
33 34	18.6.	Certificate of correctness and completeness of records being transmitted noted by the Chief of the Legal Division of the OO; and
35 36	18.7.	Confirmation of e-filing, if applicable. (Form No. 7: Order of Perfection and Transmittal Form attached as Annex "G")
37 38 39	SECTIO	N 19. Action on Appeal.
40 41 42 43	19.1.	<b>Office of Origin.</b> Upon perfection of the appeal, the OO shall issue the aforementioned Order in the preceding section and transmit the records of the case as provided in the preceding section above.
44 45 46	19.2.	OSec through the Legal Affairs Service, DENR Central Office (LASCO). Upon receipt of the complete original records of the case transmitted by the OO, to the OSec, through the Director for LAS-CO

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or duly authorized officer, shall issue an Interlocutory Order within fifteen (15) days from receipt thereof, directing the following:

- **19.2.1.** Appellee to file appellee's memorandum within fifteen (15) days from receipt of the order. Failure to file an appellee's memorandum shall be deemed a waiver thereof and the case shall be deemed submitted for resolution.
- **19.2.2.** The appellee's memorandum shall (a) point out insufficiencies or inaccuracies in appellant's statement of facts and issues and (b) state the reasons why the appeal should be denied or dismissed. A copy shall be served on the appellant and the OO concerned, with proof of service. Matters not raised in the Appellee's Memorandum shall be deemed waived. (*Omnibus Motion Rule*)

Pursuant to the Omnibus Motion Rule, grounds for Motion to Dismiss shall be included in the Appellee's Memorandum. No separate Motion to Dismiss shall be entertained.

A motion for extension of time to file pleadings, or any other paper shall not be allowed.

- 19.2.3. With the exception of non-adversarial cases including, but not limited to administrative cases and administrative confiscation proceedings, inform parties regarding the options to submit their case to Alternative Dispute Resolution (ADR) mechanism of the DENR and to submit a proposal for compromise agreement within ten (10) days from receipt of the order. If parties opt to undergo the ADR process, the provisions of DENR Administrative Order No. 2016-30 dated 22 December 2016 and relevant issuance shall apply.
- **19.3.** Upon compliance of the parties with the order, the case shall be raffled by the Office of the Director, LAS using the Internal Raffling System of the Service.
- 19.4. Clarificatory Investigation and Conference. Upon the filing of the parties of their respective appeal memoranda and other pleadings and before the case is deemed submitted for resolution, the Secretary, through his/her duly authorized officer, may motu proprio or upon proper motion by any party, direct the conduct of a clarificatory investigation and call upon the parties for a clarificatory conference to take up matters for the judicious resolution of the appealed case Within thirty (30) days after the termination of the investigation, an internal investigation report shall be submitted to the Secretary, through the Office of the Director for Legal Affairs Service, to assist the Secretary in resolving the case. The investigation report shall be treated with confidentiality and shall only be for the guidance of the reviewing authority or the Secretary in relation to the resolution of the case.

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19.5. Alternative Dispute Resolution (ADR). Pursuant to Republic Act 9285 or the ADR Act of 2004 and related issuance in the Department to provide access to parties with alternative means of settling their dispute, ADR may be provided for cases on appeal to the Secretary pursuant to the provisions of DAO 2016-30 and related issuances.

ADR service may be provided during the conduct of Clarificatory Investigation, subject to the consent of the parties or when parties will submit proposals for compromise agreement in compliance with the interlocutory order issued by the Director, LAS.

When parties were able to settle their dispute during the ADR process through a facilitated negotiation of their offers by an ADR Officer (ADRO), if any and/or during the Clarificatory Investigation, the Secretary or his/her authorized representative shall issue an Order of Compromise Agreement (OCA) approving the agreement of the parties after ensuring that the stipulations, clauses, terms, and conditions contracted by parties are not contrary to law, morals, good customs, public order, or public policy.

The OCA shall have the full force and effect of a decision and shall be immediately final and executory.

- **19.6. Submission for Resolution.** The case or matter on appeal shall be deemed ripe for resolution upon the filing of the appellee's memoranda, termination of the clarificatory investigation and/or ADR proceedings, if any, as provided under Sections 13 and 14 of this Rule unless the OSec directs otherwise.
- **19.7. Issuance of Decision**. In the issuance of Decision by the OO and the OSec , the Efficient Use of Paper Rule provided **A.M. No. 11-9-4- of the Supreme Court shall apply**

#### RULE V. AUXILIARY RULES

**SECTION 20. Completeness of Service.** The issuances by the OO or the OSec to parties are deemed served in any of the following circumstance:

- 20.1 Personal service is complete upon actual delivery;
- 20.2 Service by registered mail is complete upon actual receipt by the addressee, or after ten (10) days from the date the first notice of the postmaster has been served, whichever date is earlier;
- **20.3** Service by courier service is complete upon actual receipt by the parties pursuant to the returned tracking document;

A party, in order to ensure timely service receipt of the issuance of the concerned office, may opt to file a motion to receive issuance via electronic means. The date of receipt by the party shall be the date of transmittal by said office. Hard copy of the issuance for the party shall then be mailed within five days via ordinary mail or courier service.

**SECTION 21.** Presumptive Receipt of Issuance by the Office. If notices, orders, decisions or resolutions issued by the concerned office have been mailed through registered mail, as appearing on record, a party is deemed duly served after [2]90 days[3] from date of mailing.

 In any manner of service as provided in the preceding sections, the Legal Records Officer (LARO) at LAS or Legal Division, Regional Office shall issue a Certification motupropio or on motion by the parties on the mailing and non-receipt of compliance, motion or appeal.

To implement the Presumptive Receipt Rule, the DENR LAS-CO and the RMD-CO, shall craft the guidelines for purposes hereof and to improve the efficiency and effectiveness in legal records management. [4]

**SECTION 22. Docketing of Case on Appeal.** Upon receipt of the requirements enumerated in *Section 11 of Rule 4* and issuance of the interlocutory order, the designated LARO or duly designated personnel at the regional office shall be responsible in legal records management including the docketing of the case and appropriate process to support the final execution of the issued judgments. The LAS-CO together with the RMD-CO shall include in the guidelines specific management of the legal recording process at the OO and the OSec.

**SECTION 23.** Recording and Monitoring System of Cases on Appeal. To support the implementation and execution of judgments issued by the Secretary or HOO, the LAS-CO and RMD-CO shall also include in the guidelines to be crafted, a Legal Recording and Monitoring System that will be applicable in the OO and appeals to the OSec.

**SECTION 24.** Duties and Responsibilities of the RMD/Legal Records Officer. The LARO or designated RMD Officer shall primarily be responsible for assisting the management of legal records in the proper storage, transmission, preservation and disposal of records. He or she shall abide by the duties and responsibilities as mentioned in these rules and related laws, rules and regulations in records management.

The duties and responsibilities in the legal records management shall be included in the guidelines that will be crafted by the DENR LAS and the RMD to include among others effective legal records management systems.

SECTION 25. Death of party or counsel. In case of death of any of the parties, a motion for substitution of party, with attached Certificate of Death, shall be filed by the heirs, authorized representative, or counsel of record before the OO or OSec where the case is pending for resolution, within thirty (30) days after such death. Failure of counsel to comply with this duty shall be a ground for disciplinary action.

In case of death of the counsel of record, parties concerned shall inform the concerned office within the same period.

## RULE VI. ISSUANCE, FINALITY AND EXECUTION OF DECISION

**SECTION 25. Motion for Reconsideration.** When no motion for reconsideration is filed within the period prescribed above or after the lapse of the period stated on Presumptive Receipt Rule above, the motion is deemed submitted for resolution.

**SECTION 26. Finality of Decision of the Secretary.** The decision of the Secretary or his/her duly authorized representative shall become final and executory after the lapse of the reglementary period for filing a motion for reconsideration or appeal to the higher office or tribunal and no such motion or appeal has been filed.

In such a case, the LARO applying the presumptive receipt rule under Section \_\_ of the and using the Recording and Monitoring System to be adopted shall motu proprio issue a Certification of No Motion for Reconsideration or Appeal within 7 days from presumptive determination. (Form No. 8 Certification of No Motion for Reconsideration or Appeal attached as Annex "H")

**SECTION 27.** Entry of Judgment/Endorsement to Office of Origin. The Certification of No Motion for Reconsideration or Appeal shall serve as the actionable document for the Director of the Legal Affairs Service to issue an Entry of Judgment/Endorsement to the OO for the execution of the Decision/Resolution/Order or Award within 15 days from receipt of the certification.

The Entry of Judgment/Endorsement shall include the transmittal of the complete records of the case from the OO for execution. (Form No. 9: Entry of Judgment/Endorsement to OO attached as Annex "I")

SECTION 28. Transmittal and Execution upon Final Decision, Resolution, Order, or Award. Upon the issuance of the Entry of Judgment/Endorsement, the LARO/RMD of DENR CO shall transmit the complete original records of the case to the OO OOfor execution within 30 days from issuance of entry. (Form No. 10\_ Transmittal of Records of the Case and Indorsement of Entry of Judgment to the OO attached as Annex "J")

**SECTION 29. Execution by the Office of Origin.** Within fifteen (15) days from receipt of Entry of Judgment/Indorsement together with the records of the case, the HOO shall issue an Order of Execution with Writ of Execution directing designated officers to execute said decision, resolution, order, award or related issuance. (*Form No. \_ Order of Execution with Writ of Execution attached as Annex "K"*)

### RULE VII FINAL PROVISIONS

SECTION 30. Applicability of the Rules of Court or the Civil Service Commission's Rules on Administrative Cases in the Civil Service. In all matters not provided in these rules, the Rules of Court or the RACCS, as the case may be, shall apply in a suppletory character, or by analogy whenever practicable and convenient.

**SECTION 31. Repealing Clause.** All orders, circulars, memoranda, and other issuances inconsistent herewith are hereby repealed or modified accordingly.

1	SECTION 32. Separability Clause. If for any reason, any section or provision of
2	this Administrative Order is declared null and void, no other section, provision, or part
3	thereof shall be affected and the same shall remain in full force and effect.
4	
5	SECTION 33. Effectivity Clause. This Administrative Order shall take effect
6	fifteen (15) days after its publication in a newspaper of general circulation and upon
7	acknowledgment of receipt of a copy hereof by the Office of the National Administrative
8	Register.
9	
10	
11	
12	
13	Maria Antonia Yulo-Loyzaga
14	Secretary

 $v.27/04/2023 \ New \ Version \ Consolidated \ ROA \ after \ Writeshop$