



DEC 14 2023

MEMORANDUM

FOR : The OIC, Regional Executive Director

FROM : The OIC, Assistant Regional Director for Technical Services

SUBJECT : **PARTICIPATORY COMMUNITY-BASED FOREST
MANAGEMENT AGREEMENT (CBFMA) HOLDER
PERFORMANCE EVALUATION REPORT OF SAN RAFAEL,
TANABAG, CONCEPCION MULTI-PURPOSE COOPERATIVE
(STCMPC) [RIV-PAL-CBFMA-98-03]**

This pertains to the conduct of Participatory Community-Based Forest Management Agreement (CBFMA) Holder Performance Evaluation (PE) pursuant to Forest Management Bureau (FMB) Technical Bulletin (TB) No. 36 dated 26 May 2021, *Procedural Guide on the Conduct of Participatory CBFMA Holder PE as a Requirement for the Renewal of Expiring CBFMAs* with Clarifications per FMB Memorandum dated 18 March 2022.

Relative thereto, the PE Team-CBFM (PET-CBFM) created per RSO 2023-151 dated 28 March 2023 with addendum per RSO 2023-235 dated 28 April 2023 conducted the Performance Evaluation of **San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC)** with Tenure No. RIV-PAL-CBFMA-98-03 located in Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan on September 11, 2023. The CBFMA was awarded on February 12, 1999, and expires on February 12, 2024.

Per the results of the PE, STCMPC got a score of **23.57%**, which falls under **Poor/Failed Performer**, and indicates no/zero accomplishment and unacceptable performance of their obligations. Thus, we are recommending the **NON-RENEWAL** of the said CBFM-PO.

Attached is the comprehensive report for your reference.

For information and consideration, please.


MAXIMO C. LANDRITO

☒ Approved

☐ Disapproved


FELIX S. MIRASOL JR., CESO IV
OIC, Regional Executive Director

cc: **PENRO Palawan**
CENRO Puerto Princesa City, Palawan



Department of Environment
and Natural Resources
MIMAROPA Region



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PARTICIPATORY COMMUNITY-BASED FOREST MANAGEMENT AGREEMENT (CBFMA) HOLDER PERFORMANCE EVALUATION REPORT OF SAN RAFAEL, TANABAG, CONCEPCION MULTI-PURPOSE COOPERATIVE (STCMPC) [RIV-PAL-CBFMA-98-03] LOCATED AT BARANGAYS SAN RAFAEL, TANABAG, AND CONCEPCION, PUERTO PRINCESA CITY, PALAWAN

EXECUTIVE SUMMARY

San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC) was awarded with CBFMA Tenure No. RIV-PAL-CBFMA-98-03 on February 12, 1999, and will expire on February 12, 2024. It is located in Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan, and covers an area of 5,000 hectares. On September 11, 2023, the Performance Evaluation Team (PET)-CBFM conducted the Performance Evaluation of STCMPC. Guided by FMB Technical Bulletin No. 36, the PET-CBFM conducted the performance evaluation, which included review of records, data gathering from key informants, and the conduct of Key Informant Interviews (KII). The actual site visit of the plantations or developments within the CBFM area was not able to be conducted because no active PO member can guide the PET-CBFM. Secondary information from journals and publications and KII with the CENRO supplemented the lacking data, instead. Results show that STCMPC got a score of 23.57%, which falls under Poor/Failed Performer and indicates no/zero accomplishment and unacceptable performance of their obligations. These are evident in their prolonged inactivity since the passing of their last PO Chairman, which led to irregular elections, lack of documentation, and unresponsiveness to CENRO's multiple notices. With no reorganization attempts, exacerbated by key members' inactivity and death, the PO also failed in forest protection, CRMF formulation and affirmation, benefit sharing, and compliance with environmental laws. These factors, combined with the lack of interest to renew, contributed to their category as a Poor/Failed Performer. Thus, the PET-CBFM recommended the non-renewal of the CBFMA of STCMPC.

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 1. STCMPC 2022 Compliance Monitoring and Assessment Tool (MAT)

 2. STCMPC CRMF & FYWP dated September 25, 2000

 3. NTFP-EP’s Not By Timber Alone November 2000 issue, pages 9-10

 4. Center for International Forestry Research (CIFOR)’s The ACM Sites. In *Learning Together: Responding to Change and Complexity to Improve Community Forests in the Philippines*, pages 25–44

 5. Undated Memorandum of the validation team re: validation report on the applied area for tree cutting of ABTI CBFM

 6. STCMPC KII Attendance Sheet (September 11, 2023)

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BACKGROUND

Creation of the Performance Evaluation Team for Community-Based Forest Management (PET-CBFM) and other preparatory activities undertaken

Per Forest Management Bureau (FMB) Technical Bulletin (TB) No. 36 dated 26 May 2021, *Procedural Guide on the Conduct of Participatory Community-Based Forest Management Agreement (CBFMA) Holder Performance Evaluation as a Requirement for the Renewal of Expiring CBFMAs* with Clarifications per FMB Memorandum dated 18 March 2022, the DENR MIMAROPA Regional Office created a Performance Evaluation Team (PET) through Regional Special Order (RSO) No. 2023-151 dated 28 March 2023 with addendum per RSO 2023-235 dated 28 April 2023.

The said PET-CBFM is led by the Team Leader from the Enforcement Division (ED) with Members from the Conservation and Development Division (CDD), Licenses, Patents, and Deeds Division (LPDD), PENRO and CENRO representatives.

As part of the preparation, the RO requested resource speakers from FMB to discuss the said TB since the majority of the current CBFM staff and PET members were not able to attend the previous orientation. On March 6, 2023, the FMB, represented by For. Ogie Gibe and For. Joshua Saluria oriented the PET-CBFM regarding FMB TB Nos. 36 with Clarifications per FMB Memorandum dated 18 March 2022 and FMB TB No. 38, *Procedural Guide in the Renewal of CBFMA*, via Zoom platform.

On August 23, 2023, the PET-CBFM conducted a brief orientation on the Performance Evaluation to PENRO Palawan, CENRO Puerto Princesa City, Palawan, and CENRO Quezon, Palawan, via Zoom platform. The PENRO and CENRO were asked to designate representatives from their Monitoring and Evaluation Section (MES) as counterparts of the ED in the RO. Further, the Field Offices were instructed to relay the schedule of the Performance Evaluation (PE) to the People's Organizations (PO) and assist them in the preparation of all their CBFM and PO-related documents.

In the succeeding days, the PET-CBFM also prepared all the available documents in the RO regarding the POs subjected to PE, such as the CBFMA, Community Resource Management Framework (CRMF) and Five-Year Work Plan (FYWP), latest compliance monitoring report, map shapefiles, and others.

Profile / details of San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC) and its CBFM area

San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC), originally named as Nagsaguipi Small Coconut Farmers Organization was awarded with CBFMA Tenure No. R-IV-OCCMIN-CBFMA-1998-02 on February 12, 1999 and will expire on February 12, 2024. It is located in Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan. It covers an area of 5,000 hectares.

All of the barangays are strategically located along the national highway, where the jurisdiction of the CBFM area is found at the Northwestern portion of the barangays or in the upper upland portion.

The three barangays consist mostly of migrants and a minority of indigenous individuals, specifically the Tagbanua and Batak tribes. The migrant population includes Cuyonon originating from Cuyo island in Palawan, alongside various regional groups like Tagalogs, Ilocanos, Bikolanos, and Visayans. The majority of these individuals practice the Christian faith (Hartanto, et. al., 2003).

Other information of STCMPC is summarized in Table 1. These data were acquired from primary and secondary sources such as their CBFMA, CRMF, 2022 CBFMA compliance monitoring report and actual interview and field observation of the team.

Table 1. Profile of San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC).

Basic Information			
Current Name	San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC)		
Original Name (per CBFMA)	San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC)		
Tenure No.	RIV-PAL-CBFM-98-02		
Date Issued	February 12, 1999		
Date Expired	February 12, 2024		
Location	Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan		
Area	Original: 5,000.00 ha.	Resurveyed: Not applicable (N/A)	
No. of Members (original, December 1999)	Total: 427	Male: 278	Female: 149
PO Chairman	Melquiades D. Rodriguez (Deceased)		
Registering Agency	Cooperative Development Authority (CDA)		
Registration No.	LGA 1565 (Inactive)		
Date Registered	June 1, 1994		
Status of PO Members	Inactive		
Demographic/Socio-economic Information			
Ethnicity	Tagbanua, Batak, Ilonggo/Bisaya, Ilokano, Cuyono, Tagalog, Bicol. Muslim, Cagayanen (<i>existing ethnicity within the three barangays based on CRMF, 2000</i>)		
Language	Tagalog, English, Bisaya, Cuyonon, Bicol (<i>based on CRMF, 2000</i>)		
Approximate Age Distribution of Members	Senior (60 years old and above): No data	Adult (32-59 y/o): No data	Youth (0-31 y/o): No data
Ave. Level of Education (<i>level of residents in the 3 barangays based on CRMF, 2000</i>)	Tertiary: 4%	Secondary: 25%	Elementary: 35% No Education: 4%
Source of Livelihood	PO	No data	
	Household/Member	Sari-sari store (<i>livelihood of interviewed BOD member</i>) Farming, fishing, collection of forest products, laborers, employees, traders (<i>existing main source of livelihood of three barangays based on CRMF, 2000</i>) Almaciga extraction (Hartanto, et al., 2003)	
Ave. Annual Income	PO	No data	
	Household/Member	No data	
Assets of the PO	Funds	Php 100,000 - Cooperative Bank of Palawan (<i>no passbook record, based on former BOD member's recollection only</i>)	
	Material	Kiln dryer (<i>No actual record, based only on verbal communication with the PENRO CBFM Coordinator</i>)	

Existing Basic Services	Within CBFM	unpaved roads, electric posts (based on site observation)
	Adjacent to the CBFM	school, church, bridge, barangay hall, barangay health center, basketball court, stage, day care center, waiting shed (<i>based on CRMF, 2000</i>)
Total Household within CBFMA	Some of the members live adjacent to the CBFM area (e.g. former BOD)	

During the conduct of the PE, the team experienced dry season (September 11, 2023). The area is easily accessible since it is adjacent to the National Highway. The profile of the CBFM area of STCMPC is summarized in Table 2. Please do note that some of the presented data needs further verification.

Table 2. Profile of the CBFM area of STCMPC.

San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC)				
Area	Original: 5,000.00 ha.		Resurveyed: N/A	
Area Developed	a. Gmelina, Mahogany, Mangium, Rattan (<i>based on KII with BOD member, no record/map available</i>) b. Reported technical assistance provided in the CBFM area (Hartanto, et. al., 2003): <ul style="list-style-type: none">Natural Resource Management Programme (1996)Asian Development Bank-funded Comprehensive Site Development Project on Reforestation (1999)			
No. of CSC within CBFM	23 Certificate of Stewardship Certificates (CSCs) [<i>unverified data based on CRMF, 2000</i>]			
Accessibility	Easily accessible by foot, motor or four-wheel vehicles coming from the city proper at a distance of more or less 60 to 70 kilometers via the cemented north National Road			
Location	Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan			
Climatic Type	Type 3; No pronounced season, relatively dry from November to April and wet during the rest of the year. Maximum rain period is from June to September			
Elevation (meters above sea level)	Range:		Average:	
Slope (%)	Range:		Average:	
Source of Water	San Rafael River, Concepcion River, Tanabag River, Tarabanan River (<i>based on the name of bridges with river intersecting the CBFM area on Google Earth</i>)			
Watershed Name				
Plant species planted/naturally occurring in the area	Forest Trees	Fruit Trees	Agricultural Crops	NTFP/Others
	Mangium, Mahogany, Gmelina	Coffee	Coconut, Corn, Cassava	Almaciga, Rattan, Bamboo, Honey

METHODOLOGIES AND ACTIVITIES CONDUCTED DURING THE ACTUAL PERFORMANCE EVALUATION

Courtesy call

On September 6, 2023, the PET-CBFM traveled to Palawan. The following day, September 7, 2023, the team had a courtesy call with the In-Charge of the PENR Officer and Resource Permitting Section (RPS) Chief, Jimmy Villareal to discuss the purpose of the trip and the itinerary of the team. On September 11, 2023, the PET-CBFM conducted a courtesy call with Barangay Tanabag. After, a courtesy was also made with Barangay Concepcion represented by Brgy. Councilor Hon. Delia D. Tabangay. Due to availability and agreed-upon itinerary, the Team was able to conduct a courtesy call with OIC, CENRO Alexander E. Mancio of Puerto Princesa City, Palawan on September 18, 2023.

Review of Records

The PET-CBFM retrieved the available documents in the Regional Office such as the CBFMA, map shapefiles, and 2022 compliance monitoring report. The team also instructed the CENRO CBFM Desk Officer to prepare the CRMF & FYWP, Memorandum of Agreements (MOAs) if they have projects, and all the other available documents related to the CBFM-PO.

Data gathering from key informants

Other documents such as Registration, Official PO Membership List, and communications/correspondences were tried to source from the PO itself, the Provincial CBFM Coordinator, and the CENRO CBFM Desk Officer. However, since the PO has been inactive for years, these aforementioned documents along with the bank documents, financial reports and audits, documentation/minutes of meetings, resolutions, and/or official receipts are nonexistent. Only the CRMF and FYWP were acquired from the CENRO files.

Brief Orientation with the CBFM-PO

On September 11, 2023, the PET-CBFM oriented the representative found by the Team before the conduct of the Key Informant Interview (KII). The PET-CBFM from PENRO Palawan and CENRO PPC, Palawan has also conducted an initial PE in May 2023 before the arrival of the regional team.

Conduct of FGD Sessions

As stated earlier, there is no existing CBFM-PO that represents the STCMPC. Thus, the PET-CBFM proceeded to conduct a KII instead with Ms. Beatriz P. Dacles, a former BOD of the PO. It was held in front of Ms. Dacles' sari-sari store in Barangay Concepcion, Puerto Princesa City, Palawan on September 11, 2023. Other information unknown by Ms. Dacles was sourced from the Monitoring and Enforcement Section (MES) Chief of CENRO PPC.

Other methodologies and activities

The PET-CBFM simultaneously observed the area of STCMPC when passing the unpaved road to its adjacent CBFM, Samahan sa Kaunlaran ng Batak sa Tina (SKBTI). No former PO member was able to accompany the Team so the Locus Map application was utilized to validate the location of the CBFM area.

The exit conference was conducted on September 19, 2023, at the Conference Hall of PENRO Palawan, which was attended by the representatives of PENRO Palawan and CENRO PPC, Palawan. No PO representative of STCMPC was able to attend the exit conference.

SUMMARY OF RESULTS AND FINDINGS

Performance of the CBFMA Holder

A. About the PO and CBFMA

Since the death of its last known PO Chairman named Mr. Melquiades D. Rodriguez, STCMPC has been inactive. CENRO PPC reported that there were no signs of activation or other forms of re-grouping undertaken by the PO since January 2016. There was no continuation of management since his Vice Chairman named Eddie Cañete became inactive. Further, some of the members of the Board of Directors (BOD) passed away. Per available records, STCMPC has a legal personality with its CDA registration, but no MOV was found by the PET-CBFM to validate this.

According to Ms. Beatriz P. Dacles who lives in Brgy. Concepcion and former BOD member of the PO, their meetings were previously documented through Minutes. No regular election has been conducted recently.

In 2020, the CENRO issued a "*Pabatid*" or notice in the three barangays of Brgy. San Rafael, Tanabag, and Concepcion. The said notice is addressed to the CBFM members of the defunct STCMPC to communicate to the CENRO as soon as possible if they are still interested in the reactivation of their CBFM. However, the CENRO received no reply.

In 2021, another notice was posted in the three barangays again. They were given 15 days to communicate to the CENRO if they were still interested in the project. Unfortunately, no letter or communication has been received from any members or previous officers of the cooperative up to this date.

In April 2023, before the arrival of the PET-CBFM from the Regional Office, the Evaluation Team from the PENRO and CENRO reached out to the members of the board. It was revealed that CBFM transactions are none of their priority because of their old age and disinterest due to the allegations of corruption such as loss of money in the PO's bank and other misfortunes about their CBFM-PO management. Further, these members of the CBFM-PO are not dependent on the forest or the non-timber forest products (NTFP) that can be harvested from the CBFM.

B. Awareness of CBFM-PO's Obligations

During the KII, the former BOD member knew and explained some obligations correctly and added some that were not part of the list. However, she personally does not want to renew the CBFMA because of old age. Per CENRO, there is also a lack of interest in activating the PO given that they have individual livelihood opportunities to attend to.

C. Obligations of CBFMA Holders

C.1. Obligation #1 – Forest Protection

Since the PO has been inactive for years, the CBFM has been long neglected. This is evident in their lack of coordination with the CENRO for several years despite notices. Thus, the STCMPC failed to protect the entire forestlands within the CBFMA area. There was also no record of whether the PO members were deputized by the CENRO.

There is no certainty if there are remaining STCMPC CBFM-PO members that currently occupy the CBFM area. Per the 2022 Compliance Monitoring Report (CMR), the CENRO PPC

had a dialogue with the then Barangay Captain of Concepcion Mr. Rolando Antolo in 2018, one of the Barangays where the CBFM is located. He mentioned that he has already issued a resolution that the CBFM members who are residents of his barangay are no longer interested in the reactivation of the cooperative

Since Ms. Dacles does not know the ongoing forest protection concerns in the CBFM area, a KII was conducted with the Monitoring and Enforcement Section (MES) of CENRO PPC. It was revealed that most of the protection concerns remain the same after the awarding of the CBFMA. These include illegal logging, timber poaching, illegal gathering of wildlife, selling of farm rights/development, structure/dwellings of untenured settlers, and charcoal making. Some of these are reported in their 2022 CMR wherein there was an alleged occupation of various claimants and illegal forest activities. During the observation of the PET-CBFM on the unpaved road within the CBFM area, no sighting of the abovementioned issues was observed. These can be further verified if the PET-CBFM was able to enter the forests and plantations within the CBFM, however, there was no available active PO member that can guide the team.

On the other hand, kaingin farming, deliberate and undeliberate forest/grass fires had better conditions today. According to CENRO, *kaingin* is no longer practiced since the people were afraid of the sanctions/punishment.

C.2. Obligation #2 – Sustainable Land Use

STMPC has CRMF and FYWP in 2000, however, the indicated proposed developments cannot be verified if successfully implemented because the PO is inactive and the CENRO does not know the actual location of their plantations or farms, if any. The same can be said with the interviewed former BOD member. However, pictures were taken on the way going to its adjacent CBFMA, Samahan sa Kaunlaran ng Batak sa Tina (SKBTI) as it is expected that the STMPC area is nearer to the roadside.

Hartanto, et al. documented that the primary occupations of the residents in the San Rafael, Tanabag, and Concepcion are agriculture, fishing, logging, charcoal production, trade, and gathering honey and almaciga (*Agathis damarra*) resin. Further, the CBFM area has been exposed to various technical assistance projects since the 1970s. These include the Community Forestry Program on forestry protection (1980 and 1989/90), the Nursery Establishment Programme of the City Agriculturist (1980), the Natural Resource Management Programme (1996), and the ADB-funded Comprehensive Site Development Project on Reforestation (1999). These initiatives resulted in enhancing forest cover by more than 500 hectares and offering temporary job opportunities for the local populace. However, the said programs made minimal impact in offering alternative livelihoods to the community (2003).

On November 13-17, 2000, an educational tour was conducted in the CBFM area of STMPC by the NTFP Task Force. re. The participants include the Province of Negros and Manila, *Nagkakaisang mga Tribu ng Palawan* (NATRIPAL), Indigenous People's Apostolate (IPA) - Palawan, Broad Initiatives for Negros Development (BIND), at *Bagong-Silang Marcelo Katilingban sa Sustenidong Mangunguma* (BSMKSM). It was also supported by the CENRO Puerto Princesa City and Barangay LGU of San Rafael. Participating STCMPC members include the Chairman, Mr. Rodriguez, and Vice Chairman, Mr. Jimmy Cañete. The participants conducted sharing of knowledge and lessons regarding the utilization of forest and NTFP products, as well as their issues (Olbes. J.P., 2000).

During the ground validation, it was found out that the GIS-generated CBFM map of STMPC overlapped with the actual CBFM area of SKBTI. Per the results of the conducted PE, SKBTI is a middling performer, which means the PO is highly possible to be recommended for renewal as long as it complies with the catch-up plan within the specified time.

This discovery of overlap between the CBFM areas is consistent with the retrieved 2016 report of the PET-CBFM. Per the report, the CENRO Puerto Princesa City, Palawan conducted the actual ground survey of the SKBTI CBFM area. Based on the report, the Batak sa Tina elders and members recognized the Antipolo tree located near the Tanabag River as corner one (1) of the CBFM Area. Using the Antipolo tree as corner 1, the team confirmed that the Batak Community is within their CBFM area when plotted in the GIS map. Thus, there is an overlap between the CBFM areas of STCMPC and SKBTI.

Obligation #3 – Preparation and Implementation of CRMF and FYWP

STCMPC was able to formulate CRMF and FYWP in 2005. However, it is not affirmed and is not compliant with the latest guidelines and policies.

Obligation #4 – Formulation and Implementation of Benefit Sharing Scheme

The interviewed inactive former BOD representative had no idea about the benefit-sharing mechanism before, hence, this obligation was labeled as not applicable.

Obligation #5 – Payment of Forest Charges and Other Fees

This obligation is not applicable since the former BOD has no record or recollection if the CBFM-PO previously harvested from the natural forests. However, it was documented in an article by NTFP-EP that STCMPC were given an Interim Resource Use Permit which allowed them to utilize dead and felled trees within a year (Olbes, 2000).

Obligation #6 – Production Sharing

There is no record if there was harvesting from government-funded plantations (if there are any, in the first place).

Obligation #7 – Joint Venture Undertaking

Per research of the PET-CBFM, the PO had an ADB-funded reforestation project in 1999; however, the inactive former BOD representative had no idea about this within the CBFM, hence, most of the questions became inapplicable.

Obligation #8 – Recommendation of Certificate of Stewardship (CS) Issuance

Awarding of individual CS is not included in the PO's obligations per CBFMA.

Obligation #9 – Adherence to Forestry/Environmental Laws, Rules and Regulations

Based on the KII with the former BOD member, the PO followed only a few environmental rules.

After the conduct of KIIs, review of records, research of secondary sources, and limited site observations, the PET-CBFM finalized the PO's rating.

The results of their PE are summarized in Table 3.

Table 3. Summary of Ratings of STCMPC.

[a] Section	[b] Number of Questions/Items with Rating (excluding those with "N/A")	[c] Sub-total <i>[refer to Summary of Ratings (Sub-total)]</i>
A. About the PO and CBFMA	9	7
B Awareness of CBFM PO's Obligations	1	3
C. Obligations of CBFMA Holders		
Obligation 1: Immediately assume responsibility for the protection of the entire forestlands within the CBFMA area vs illegal logging, unauthorized extraction of forest products, <i>kaingin</i> , forest/grassland fires, and other forms of forest destruction; and assist DENR in the prosecution of violators of forestry and environmental laws.	7	15
Obligation 2: Designate areas according to their sustainable use and, when appropriate in accordance with their native customs, traditions and practices, allocate & regulate resource-use rights in accordance with national laws, rules and regulations	4	2
Obligation 3: Prepare and implement CRMF and FYWP with assistance from DENR and LGU	5	0
Obligation 4: Formulate and implement benefit sharing schemes among its members.	0	N/A
Obligation 5: Pay required forest charges and other fees	0	N/A
Obligation 6: Provide the share due to government from forest products harvested from plantations established using government funds or plantations by former TLA holders	0	N/A
Obligation 7: Ensure the activities conducted under a joint venture undertaking with private and government entities or individuals for the development of portions, or the entire CBFM area, are consistent with the CRMF	1	5
Obligation 8: Recognize rights of occupancy through the granting of individual certificate of stewardship based on census of actual forest occupants, provided that the area is within the CBFM applied for and CS applicant is a regular member of the PO.	0	N/A
Obligation 9: Follow all duly-promulgated laws, rules & regulations pertinent to forest management.	1	1
Grand Total	28	33
Score [(c/b) x 20]	23.5714	
Adjectival Rating	Poor/Failed Performer*	

*based on Annex E of FMB TB 36: <26% Poor/Failed Performer; 26-40% Beginner/Starter; 41-55% Poor/Failed Performer; 56-70% Middling; 71-85% Achiever; 86-100% Extraordinary

Overall, STCMPC got a score of 23.57%, which falls under **Poor/Failed Performer** per CBFMA Performance Rubric. The PO has four (4) inapplicable Obligations for various reasons. These are lack of knowledge of the informant on the topic (Obligation No.3), it was not conducted by the PO yet (Obligation No. 5), and/or these are not included in their CBFMA (Obligation No. 6 and 8).

The CBFM-PO can be considered a Poor/Failed Performer as manifested by their prolonged inactivity since the passing of its last known chairman. With no signs of reactivation or re-grouping efforts, the cooperative remains dormant, exacerbated by the Vice Chairman's inactivity and the passing of some board members. Despite having legal registration, the lack of Minutes of Meetings, irregular elections, and a failure to respond to CENRO notices indicate dormancy. This lack of interest in reactivation is echoed by the former board member, Ms. Beatriz P. Dacles, who cites her old age and personal interest (*e.g. individual livelihood*) in the non-renewal of the CBFMA. Additionally, STMPC failed in forest protection as evidenced by the long-standing neglect and lack of coordination with CENRO, leading to issues like illegal logging, encroachment, and other issues within the CBFM area. Their inactivity also impacted the sustainable land use and implementation of their old CRMF and FYWP, as the implementation of previous developments remains unmonitored and unverified. This inactivity extends to fulfilling

other obligations such as the formulation of benefit sharing and adherence to environmental laws and regulations.

Appropriateness and effectiveness of DENR's service/assistance

Based on DAO 2004-29, *Revised Rules and Regulations for the Implementation of Executive Order 263, otherwise known as CBFM Strategy*, the DENR has three (3) roles (see Table 4).

Table 4. Roles of DENR to the CBFM-PO.

Roles (Obligation)	Who performs this (CENRO / PENRO / Regional Office / Central Office)	How regular
<i>Based on DAO 2004-29</i>		
1. Protect and ensure exclusive occupation and the use of the forest land covered by this CBFMA and the forest products therein to the community, subject to prevailing laws, rules and regulations and prior rights.	CENRO, PENRO, RO	The CENRO conducts compliance monitoring once a year and visits the area, as the need arises.
2. Provide assistance to the PO as part of the DENR's normal operations in the preparation, updating and implementation of the Community Resource Management Framework (CRMF), and Five-Year Work Plan (WP), among others.	CENRO, PENRO, RO	The CENRO assisted in the formulation of CRMF in 2005. However, it was not updated due to PO's inactivity.
3. Deputize qualified PO members as Environment and Natural Resources Officers (ENROs) upon request of the People's Organization pursuant to DAO No. 41, series of 1991 and other pertinent regulations.	CENRO, PENRO, RO	There was no deputation conducted by CENRO.

It was observed that the CENRO conducts only once-a-year monitoring since it was targeted as such. Thus, the concerns of the PO were not regularly monitored and their needs for technical assistance were not immediately provided. During the inactivity of the PO, the CENRO issued multiple notices for their reactivation but they received no feedback until today.

Further, there is no regular fund allocated for the provision of technical assistance to the CBFM-PO, except for the compliance monitoring.

RECOMMENDATION

For the CBFMA Holder

Based on the results of the PE, STCMPC got an average score of 23.57% (Poor/Failed Performer). Thus, the PO is recommended for the non-renewal of CBFMA.

Per FMB TB 38, *Procedural Guide in the Renewal of CBFMA*, the PO may file a motion for reconsideration (MR) to the Regional Executive Director (RED) within fifteen (15) days upon receipt of the notice for non-renewal. If the MR is denied, the CBFMA holder may appeal to the DENR Undersecretary for Field Operations (UFOE) within 15 days upon receipt of the notice of denial from the RED. Failure to file the MR within the prescribed period shall render the non-renewal of the CBFMA as final and executory.

For DENR

In terms of DENR roles/obligations to the PO, there is a need to improve in the monitoring and provision of technical assistance to the CBFM-PO. Ideally, during the first sign of inactivity of the STCMPC, the CENRO should have taken a proactive approach in assisting the PO. For example, the CENRO could have taken over the organization in a certain time while there has been no election conducted yet since the death of the last PO Chairman. This has been practiced by the PENRO in the Province of Occidental Mindoro and successfully kept an organization alive and united amid conflicting interests of members and officers.

Per FMB TB 38, the CBFM area shall be declared as an open access area under the management of DENR. It shall be listed in the registry of Potential Investment Areas (PIA). However, since STMPC has an overlapping area with SKBTI, this shall be excised first before being designated as PIA.

The recommendations are summarized in Table 6.

Table 5. Recommendations for DENR.

Roles (Obligation)	Who performs this (CENRO / PENRO / Regional Office / Central Office)	Recommendations
<i>Based on DAO 2004-29</i>		
1. Protect and ensure exclusive occupation and the use of the forest land covered by this CBFMA and the forest products therein to the community, subject to prevailing laws, rules and regulations and prior rights.	CENRO, PENRO, RO	Establishment of monitoring/ranger station in the area Inventory of existing claimants within the CBFM area, if there are any.
2. Provide assistance to the PO as part of the DENR's normal operations in the preparation, updating and implementation of the Community Resource Management Framework (CRMF), and Five-Year Work Plan (WP), among others.	CENRO, PENRO, RO	Sustain the monitoring and visibility of DENR in the area to ensure that the environmental laws, rules, and regulations will still be implemented.
3. Deputize qualified PO members as Environment and Natural Resources Officers (ENROs) upon request of the People's Organization pursuant to DAO No. 41, series of 1991 and other pertinent regulations.	CENRO, PENRO, RO	Inclusion of the CBFM area under the PIA and targets under LAWIN patrolling activities.

The DENR shall also notify the CBFMA holder concerned, through an official letter. The rights and responsibilities of the CBFMA holders in the agreement shall remain in effect until the expiration of the tenure instrument. However, since the PO is almost non-existent, the letter shall be relayed to the former BOD representative, Ms. Beatriz P. Dacles. The information about the non-renewed CBFMA shall also be posted in prominent public spaces of the three barangays covering STCMPC.

Given these recommendations, the DENR should conduct the Performance Evaluation of the renewed and future CBFMA holders at least every 10-15 years to track and monitor the progress of the CBFM-POs. By doing this, the issues and concerns can be addressed as early as possible and prevent the inactivity and death of the organizations again. Lastly, this will also ensure that the efforts of the Department and the community in managing and protecting the forestlands are sustained.

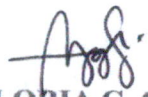
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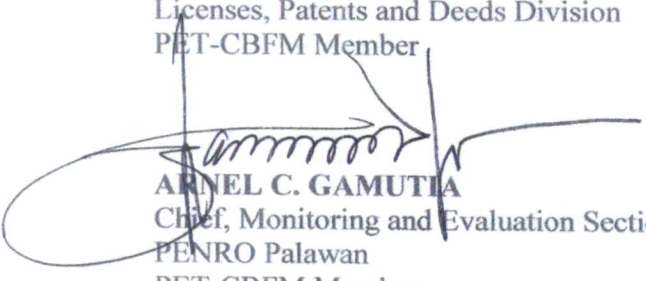
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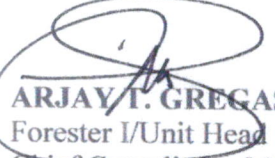
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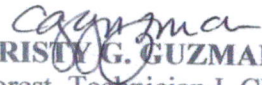

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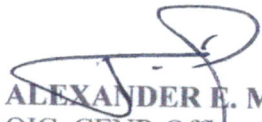

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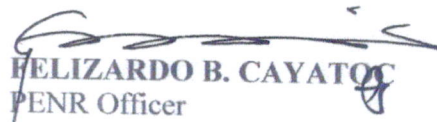
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Noted by:


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Please access/download the full comprehensive report through the link below. An advance copy of the report was also sent by pfmscdd2016@gmail.com to your official email addresses on December 20, 2023.

Thank you.

FINAL 2023 P-PAL PCBFMA HPE Reports:

Short link:

<https://tinyurl.com/PALcbfmpe2023>

Original link:

https://drive.google.com/drive/folders/1QMA1aMJJ7dH3TwB1DIptVz1yHASRfAI?usp=drive_link



DEC 14 2023

MEMORANDUM

FOR : The OIC, Regional Executive Director

FROM : The OIC, Assistant Regional Director for Technical Services

SUBJECT : **PARTICIPATORY COMMUNITY-BASED FOREST
MANAGEMENT AGREEMENT (CBFMA) HOLDER
PERFORMANCE EVALUATION REPORT OF SAN RAFAEL,
TANABAG, CONCEPCION MULTI-PURPOSE COOPERATIVE
(STCMPC) [RIV-PAL-CBFMA-98-03]**

This pertains to the conduct of Participatory Community-Based Forest Management Agreement (CBFMA) Holder Performance Evaluation (PE), pursuant to Forest Management Bureau (FMB) Technical Bulletin (TB) No. 36 dated 26 May 2021, *Procedural Guide on the Conduct of Participatory CBFMA Holder PE as a Requirement for the Renewal of Expiring CBFMAs* with Clarifications per FMB Memorandum dated 18 March 2022.

Relative thereto, the PE Team-CBFM (PET-CBFM) created per RSO 2023-151 dated 28 March 2023 with addendum per RSO 2023-235 dated 28 April 2023 conducted the Performance Evaluation of **San Rafael, Tanabag, Concepcion Multi-Purpose Cooperative (STCMPC)** with Tenure No. RIV-PAL-CBFMA-98-03 located in Barangays San Rafael, Tanabag, and Concepcion, Puerto Princesa City, Palawan on September 11, 2023. The CBFMA was awarded on February 12, 1999, and expires on February 12, 2024.

Per the results of the PE, STCMPC got a score of **23.57%**, which falls under **Poor/Failed Performer**, and indicates no/zero accomplishment and unacceptable performance of their obligations. Thus, we are recommending the **NON-RENEWAL** of the said CBFM-PO.

Attached is the comprehensive report for your reference.

For information and consideration, please.


MAXIMO C. LANDRITO

☒ Approved

☐ Disapproved


FELIX S. MIRASOL JR., CESO IV
OIC, Regional Executive Director

cc: **PENRO Palawan**
CENRO Puerto Princesa City, Palawan

 Department of Environment
and Natural Resources
MIMAROPA Region



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