



Republic of the Philippines
Department of Environment and Natural Resources
PROVINCIAL ENVIRONMENT AND NATURAL RESOURCES OFFICE
MIMAROPA Region

FEB 23 2023

MEMORANDUM

FOR : The Regional Executive Director
DENR MIMAROPA Region
1515 L & S Bldg., Roxas Blvd, Ermita Manila

THRU : ARD for Technical Services

FROM : The OIC, PENR Officer

SUBJECT : **SUBMISSION OF COMPLETED STAFF WORK (CSW) REPORT ON THE PERFORMANCE EVALUATION OF CBFMA NO. RIV-OCCMIN CBFMA 2000-05 AWARDED TO PALBONG CBFM ASSOCIATION, INC. LOCATED AT SO. PALBONG, BRGY. BATONG BUHAY, SABLAYAN, OCC. MINDORO**

Respectfully forwarded herewith is the memorandum dated February 20, 2023 of CENRO Sablayan, Occidental Mindoro regarding the above mentioned subject with pertinent enclosures.

In view of this, the CBFMA holder fully execute the terms and conditions stipulated in the agreement particularly on the management conservation and protection of the CBFM area. Therefore, re-evaluation of the aforementioned CBFM area is recommended and be conducted by Regional Performance evaluation Team as per FMB Technical Bulletin No. 36.

For information, evaluation and further action.


ERNESTO E. TAÑADA



Republic of the Philippines
Department of Environment and Natural Resources
MIMAROPA Region
Community Environment And Natural Resources Office
So. Balud, Sto. Niño, Sablayan, Occidental Mindoro
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February 20, 2023

MEMORANDUM

FOR : The Regional Executive Director
DENR, MIMAROPA Region
Ermita, Manila

THRU : The OIC, PENR Officer
Mamburao, Occidental Mindoro

FROM : The CENR Officer

SUBJECT : **COMPLETED STAFF WORK (CSW) REPORT ON THE PERFORMANCE EVALUATION OF COMMUNITY BASED FOREST MANAGEMENT AGREEMENT (CBFMA) NO. RIV-OCCMIN CBFMA 2000-05, AWARDED TO PALBONG CBFM ASSOCIATION, INC. LOCATED AT SO. PALBONG, BARANGAY BATONG-BUHAY, SABLAYAN, OCCIDENTAL MINDORO.**


Respectfully forwarded herewith is the Completed Staff Work (CSW) report of the Performance and Evaluation Team regarding the aforementioned CBFMA. This is in compliance with CY 2023 Work and Financial Plan on the Monitoring of Tenurial Instruments.


Based on the findings, the CBFMA Holder fully execute the terms and conditions stipulated in the agreement particularly on the management conservation and protection of the CBFM Area. Therefore, a re-evaluation of the aforementioned CBFM is recommended and be conducted by Regional Performance Evaluation Team as per FMB Technical Bulletin No. 36.


For your information, evaluation, and further action, if any.


FOR. ANASTACIO A. SANTOS, MPA

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February 20, 2023

MEMORANDUM

FOR : The CENR Officer

FROM : The Monitoring and Performance Evaluation Team

SUBJECT : **COMPLETED STAFF WORK (CSW) REPORT ON THE PERFORMANCE EVALUATION OF COMMUNITY BASED FOREST MANAGEMENT AGREEMENT (CBFMA) NO. RIV-OCCMIN CBFMA 2000-05, AWARDED TO PALBONG CBFM ASSOCIATION, INC. LOCATED AT SO. PALBONG, BARANGAY BATONG-BUHAY, SABLAYAN, OCCIDENTAL MINDORO.**

This has reference with the CY 2023 Work and Financial Plan on the compliance of Performance Evaluation of Tenurial Instruments issued within CENRO Sablayan, Occidental Mindoro.

CENRO Special Order No. 012 dated February 23, 2022 created a team to conduct monitoring and performance evaluation of all tenurial instruments issued within the administrative jurisdiction of CENRO Sablayan.

BACKGROUND

Community Based Forest Management has been declared as the country's national strategy for sustainable forest management under Executive Order (EO) 263 (1995). DENR Administrative Order (DAO) 96-29 (1996) provides the Implementing Rules and Regulations of EO 263; paved the way for the granting of resource use rights to communities; allows the transfer of tenure as well as their limited division through such mechanisms as joint venture and contracting. DENR Memorandum Circular (MC) 97-12 (1997) adopts the DENR Strategic Action Plan for CBFM. Consistent with EO 263, LGU participation in CBFM implementation has been clarified, although the devolution of environment and natural resource functions has been partial (Brillantes 2000). Through forest land use planning, DENR DAO 96-29 envisions the DENR and LGUs to jointly "allocate" forests and forestlands through the issuance of CBFMAs, CSDCs and other forest management agreements reviewed and affirmation also by two parties.



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The Palbong CBFM Association is an organization which was chosen by the DENR-CBFM CARP Project under the CARP Program Beneficiaries Development (PBD) in 2011. Though the submission of a livelihood project proposal entitled "Agro Forestry and Bamboo Plantation Development Project" by People's Organization (PO) headed by Joselito Balbin which registered to the Securities and Exchange Commission with Registration No. A199815108 dated February 7, 1999 and currently registered to DOLE with Registration No. 012-1017 dated February 15, 2012. The RIV OCCMIN CBFM No. 2000-05 was awarded on September 29, 2000 with a total area of 544.97 hectares situated at So. Palbong, Barangay Batong Buhay, Sablayan, Occidental Mindoro which was signed between the CENR Officer- Sablayan and the PO Chairman.

The Peoples organization submitted a Project Proposal entitled "Agro-forestry and Bamboo Plantation". This aims to establish and develop a 30 hectares Agro-forestry and Bamboo Plantation intercropping with various cash crops. Some of the members engaged in livestock production, ei. Cattle/ Carabao raising, backyard piggery and poultry but most of them are rice, corn and vegetable producers.

The People's Organization of Palbong Community-Based Forest Management Association Inc. entered into Memorandum of Agreement between the DENR in order to establish a 64 hectares NGP for CY 2014.

On December 1, 2016, PENR Officer Conrado A. Espejo Jr. forwarded to the Regional Office a Memorandum for Community Based Forest Management Project Proposal, the Rattan Plantation of Palbong CBFM Association Inc. This specific project is a component wherein the CBFM Association of Palbong is the project beneficiary.

On March 6, 2017, CENRO Caesar E. Quebec submitted the 1st Quarter Monitoring Accomplishment Report of Palbong CBFM Association Inc. According to their report, the project aim had established and developed the 30 hectares Agro-forestry and Bamboo Plantation project which was planted with Bamboo and Gmelina intercropping of Agricultural crops/ cash crops such as eggplant, okra, string beans, tomato, ampalaya, camote, cassava, banana and fruit bearing trees such as mango and cashew. The organizations goals and objectives is to enhance the Agro-forestry project and plantation to be more productive and accumulate for greater income. They tend to double their production and find possible direct buyers. Livestock raising are existing to some members which may increase income production.



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Regular meeting was conducted every First (1st) Friday of the month to discuss issues and concerns thus formulate possible solutions.

In CY 2020, the DENR CENRO Sablayan, created a Technical Working Group (TWG) in compliance with FMB Technical Bulletin No. 21-A, also known as the Guidelines in the Assessment, Survey/ Re-survey and mapping of areas covered by CBFMA and other Tenure Agreement. Based on the result of the assessment, resurvey and mapping of the aforesaid CBFMA, the initial 544.97 hectares was adjusted into 629.9 hectares as the final and official area reported.

On June 25, 2021, Forester I/ CBFM Desk Officer, Mercy A. Fianza submitted the progress report on the preparation and formulation of Community Resource Management Framework (CRMF) and Five (5) Year Work Plan of Palbong CBFM Association Inc.

On February 2, 2023, as part of EMS Target, the monitoring team, headed by Forester II, Ariston S.J. Ramos conducted performance evaluation of CBFMA to assess and evaluate its current status.

OBSERVATIONS/FINDINGS/COMMENTS

As per monitoring and performance evaluation conducted last February 7-10, 2023, we observed the following:

1. That we observed some planted perennial agri-crops and fruit trees such as jackfruit, banana, coffee, cacao, coconut and mango. Indigenous species like Dao and Narra, exotic trees like Gmelina and Non-timber forest products like Rattan and Bamboo were also seen in the area.
2. It was observed that there are technologies used to sustainably manage the areas designated for particular use.
3. That the People's Organization (PO) consist of 150 active members received benefit sharing from livestock production, ie Cattle/ Carabao raising, backyard piggery and poultry.
4. That both men and women are involved in every activities conducted in support to the project. Funds are being generated to the organization for more improvement and output.
5. That the PO Chairman and other members are residing within the CBFM community.



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6. That during our evaluation and monitoring, the planted seedlings stated in the monitoring accomplishment report of the said project dated March 6, 2017, were physically survived.
7. That in the terms of the CBFM PO awareness of its obligations indicated in the CBFMA, based on our interview with the PO Chairman following the questionnaire, they were aware of ten (10) obligations written in the MOA.

ATTACHMENTS

1. Geo-tagged pictures taken during Monitoring and Performance Evaluation activities.
2. Duly accomplished CBFMA Holder Performance Evaluation Tool.

RECOMMENDATIONS

Since the Community Based Forest Management Agreement granted to Palbong CBFM Association Inc. was found successful, specifically on the establishment, management and development of the 30 hectares Agro-forestry Bamboo plantation possibly because most of the members of the association were actively participated

The aforementioned CBFMA No. 2000-05 which was awarded to the Palbong CBFM Association Inc. on September 29, 2000 with a duration of twenty five (25) years to be expire on December 17, 2023 has been properly assessed and evaluated by the Monitoring and Performance Evaluation Team. The CBFMA fully execute the terms and conditions stipulated in the agreement particularly the condition that they should protect and ensure exclusive occupation and use of the forestland and the forest product therein.




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The team therefore recommends the re-evaluation of the Community Based Forest Management Agreement granted to Palbong CBFM Association Inc., to be conducted by the Performance Evaluation Team for CBFM (PET-CBFM), authorized thru Regional Special Order as per Technical Bulletin No. 36 dated May 26, 2021.

For your information, record and evaluation.


FOR IL. ARISTON S.J. RAMOS
Chief EMS/ Team Leader


KARREEN D. ALVAREZ
Forest Tech II/Monitoring Unit Head


LILIBETH ARIOLA
Park Ranger/Member


DONITA ROSE M. ROBISO
FR/ Member

75.73%

ANNEX B

DEPARTMENT OF ENVIRONMENT & NATURAL RESOURCES
Forest Management Bureau

PARTICIPATORY COMMUNITY-BASED FOREST MANAGEMENT AGREEMENT (CBFMA) HOLDER PERFORMANCE EVALUATION

CBFMA Holder Performance Evaluation Tool

Direction: This tool will use corresponding rating, ranging from 0 to 5, for each PO answers/responses. Be guided by the notes provided. In order to get the most candid answers, do not tell the respondents the numerical values of their answers/responses.

Current PO Name: PALBONG CBFM ASSOCIATION, INC.

Original Name, if any: _____

Registering Agency: DOLE Reg. # 012-1017

Registration Date: February 13, 2012

CBFMA Number: RIN-66CMIN-CBFMA 2000-05

Registering Agency: _____

Registration Date: _____

CBFMA Area Location: So. Palbong, Barangay Babuy-Buhay Sablayan, Occ. Mind.

Total CBFMA Area: 628.9 ha

PENRO: OCCEIDENTAL

CBFMA Awarding Date (month/day/year): February 7, 1999

CBFMA Expiration Date: February 7, 2024

CENRO: SABLAYAN

Are there CSC awardees inside the CBFMA area?
Yes ✓ No _____

If yes, how many are they? _____

A. ABOUT THE PO AND CBFMA

Minimum means of verification/supporting documents: Community-Based Forest Management Agreement (CBFMA), Community Resources Management Framework (CRMF) and Five-Year Work Plan (FYWP), registrations and certifications, maps, PO policies and by-laws, official PO membership list, communications/correspondences, documentations/minutes of meetings, resolutions, bank documents, financial reports and audits, official receipts, deeds of sale, project proposals and reports, training certificates and reports, Memorandum of Agreement/Joint Venture Agreements, etc.

Question	Rating
A.1. Does the CBFM People's Organization (PO) have legal personality?	
0 - Never registered with an appropriate government agency 1 - Not updated registration to date 2 - Has record of many re-registrations due to inability to sustain previous registrations 3 - Re-registered with new name in order to be updated 4 - Registration is up-to-date 5 - Registration records are complete and updated annually	4

A.2. What is the CBFMA for the PO?

- 0 – No idea
 1 – PO members have heard about it but just forgot what it is
 2 – A project (of DENR)
 3 – A document for the ownership of land being occupied by the PO
 4 – A document for the protection of people's rights over the land PO occupies
 5 – A land management contract for the land PO occupies that is government-owned

4

A.3. Does the PO intend to apply for renewal of its CBFMA?

- 0 – Not anymore (No), why? _____
 5 – Yes _____

5

A.4. Why does the PO intend to apply for renewal of its CBFMA?

- 1 - PO is renewing for no reason
 2 - PO is renewing because of DENR's advice/promises
 3 - PO is renewing so it goes with the trend (nakituso)
 4 - PO is renewing mainly for land security
 5 - PO is renewing for many reasons, including sustainability

5

A.5. How is the PO in terms of the following?

1. Original members	39 members	Men _____ Women _____	N/A
2. Present members	150 members	Men _____ Women _____	
3. Does the PO have young and old members?	Approximate %: Youth (up to 31 years old): 25% Adults (32-59): 50% Senior citizens (60 and above): 25%		
4. Schedule of PO regular meeting or general assembly	Monthly - 1st Friday of the Month - GEN. ASSEMBLY every 2 yrs.		
5. Schedule of PO officers' meeting	Twice a month		
6. Does it have documentations of its meetings (minutes of meetings)?	With minutes of meetings: YES Without minutes of meetings: _____		
7. How many years does the PO observe before the next PO election happens?	_____ years		
8. How many turn-over of officers had there been since the beginning?	every two years		

9. What are the assets of this PO?

9.1. Funds (cash in bank)

Bank name	Balance (in pesos)
LAND BANK OF THE PHILS	

9.2. Cash on hand

9.3. Material assets

Asset	Number / Hectare
a. Land outside CBFM area	
b. Established plantations (e.g. Agroforestry)	
c. Livestock	4 Cows - CBFM Association 3 Carabao (CARP)
d. Vehicles	
e. Machines/Equipment	1 set water pump (DA) 1 set water pump CBFM
f. Tools	Water Sprinkler 2 drums (CARP) 60 meters hose
g. Buildings or structures	BUNK HOUSE / WATER TANK CBFM HALL / SOLAR DRYER CBFM stage
h. Others (specify)	

10. Enterprises/Livelihoods		
Enterprises/livelihood	Status (on-going, finished, terminated/unfinished)	Check (✓) if enterprise/ livelihood succeeded
Livestock raising	finished	✓
Piggery		✓
Construction	on-going	✓
Domestic - Chicken	on-going	✓

A.5.1. Does the PO encourage membership of various genders and age groups? (Note: refer to Questions 1 to 3 of A.7 Matrix)

0 – The PO discourages admitting members from a certain age or gender group e.g. prefers younger men etc.
5 – PO encourages membership of various genders and age groups (youth to senior citizens)

5

A.5.2. Does PO practice regular updating and dialogues through meetings? (Note: refer to Questions 4 to 6 of A.7 Matrix)

0 – PO never had a General Assembly (GA) and officers' meeting since the beginning or in the last 10 years or more, thus, there is no Minutes of Meeting (MOM) or PO has MOMs made only to appear like there were meetings being held
1 – PO had no GA but had officers' meetings in the last 10 years or more, or had meetings only when new projects are delivered or implemented; PO had or did not have minutes of meetings (MOM)
3 – PO had either GA or intermittent meetings in the last 10 years or more; has MOMs
4 – PO had irregular GA and officers' meetings in the last 10 years; has MOMs
5 – PO has regular GA and officers' meetings in the last 10 years to present; has MOMs

5

A.5.3. Does PO strictly observe democratic processes in choosing leaders? (Note: refer to Questions 7 and 8 of A.7 Matrix)

a. Election:

0 – PO has not had an election since its birth or since its first set of officers
3 – PO had irregular elections since its birth or since its first set of officers
5 – PO has regular elections following the schedule in its by-laws

b. Officers:

1 – PO have maintained old set of officers
3 – PO may have maintained some officers but is acceptable
5 – PO elected new set of officers

5

<p>A.5.4. Does PO manage assets and make them grow (Monetary Assets)? (Note: refer to Sub-questions 9.1 and 9.2 of A.7 Matrix)</p> <p>0 – PO has P10,000 to almost zero funds in bank and on-hand 1 – PO has funds in bank, on-hand, collectibles, or combined amounting to P10,001 to P250,000 2 – P250,001-P500,000 3 – P500,001 – P750,000 4 – P750,001 – P1,000,000 5 – P1,000,001 and more</p>	5
<p>A.5.5. Does PO manage assets and make them grow (Material Assets)? (Note: refer to Sub-question 9.3 of A.7 Matrix)</p> <p>0 – PO has no material assets at all 1 – PO has not-so-expensive material assets amounting to less than P100K 2 – PO has material assets amounting to about P101K-P200K 3 – PO has material assets amounting to P201K - P300K 4 – PO has material assets amounting to P301K – P400K 5 – PO has material assets amounting to more than P400K</p>	5
<p>A.5.6. Does the PO run livelihood or enterprise projects successfully? (Note: refer to Sub-questions 10 and 11 of A.7 Matrix)</p> <p>0 – PO has never run any enterprises/livelihood, or has run at least one but was pre-terminated 1 – PO has run one or more enterprises/livelihoods, all failed 3 – PO has run one or more enterprises/livelihoods, at least one succeeded 5 – PO has run one or more enterprises/livelihoods, all succeeded</p>	5
Sub-total (About the PO and CBFMA)	53
Remarks	

B. AWARENESS OF CBFM PO's OBLIGATIONS

Minimum means of verification/supporting documents: Community-Based Forest Management Agreement (CBFMA), Community Resources Management Framework (CRMF) and Five-Year Work Plan (FYWP), registrations and certifications, maps, PO policies and by-laws, official PO membership list, communications/correspondences, documentations/minutes of meetings, resolutions, bank documents, financial reports and audits, official receipts, deeds of sale, project proposals and reports, training certificates and reports, Memorandum of Agreement/Joint Venture Agreements, etc.

B.1. Is the CBFM PO aware of its obligations indicated in the CBFMA? (✓)	Question	Rating	
	Obligation	Yes	No
a.	Immediately assume responsibility for the protection of the entire forestlands within the CBFMA area vs illegal logging, unauthorized extraction of forest products, kaingin, forest/grassland fires, and other forms of forest destruction; and assist DENR in the prosecution of violators of forestry and environmental laws.	✓	
b.	Designate areas according to their sustainable use and, when appropriate in accordance with their native customs, traditions and practices, allocate & regulate resource-use rights in accordance with national laws, rules and regulations	✓	
c.	Prepare and implement CRMF and FYWP with assistance from DENR and LGU	✓	
d.	Formulate and implement benefit sharing schemes among its members.	✓	
e.	Pay required forest charges and other fees		✓
f.	Provide the share due to government from forest products harvested from plantations established using government funds or plantations by former TLA holders		✓
g.	Ensure the activities conducted under a joint venture undertaking with private and government entities or individuals for the development of portions, or the entire CBFM area, are consistent with the CRMF		
h.	Recognize rights of occupancy through the granting of individual certificate of stewardship based on census of actual forest occupants, provided that the area is within the CBFM applied for and CS applicant is a regular member of the PO.	✓	
i.	Follow all duly-promulgated laws, rules & regulations pertinent to forest management.	✓	
j.	Others indicated in the CBFM Agreement of the PO: i. _____ ii. _____		
Sub-total (Awareness of One's Obligations)		5	

Rating (refer to the responses in the matrix above):

- 0 – PO doesn't know it has any obligation per CBFMA
- 1 – PO knows they have some obligations to fulfill but couldn't express any.
- 2 – PO knows and explained at least one obligation.
- 3 – PO knows and explained some obligations correctly and added some which are not part of the list
- 4 – PO knows and explained some obligations correctly.
- 5 – PO knows and explained all obligations correctly

C. OBLIGATIONS OF CBFMA HOLDERS

Note: Go through each obligation mentioned by the respondents and indicated in the CBFMA of the PO. Leave the questions under any obligation not indicated in the CBFMA. Obligations excluded from the CBFMA but listed here may be answered but such answers shall not be included in the scoring. Instead, they shall be additional information about the CBFMA PO.

Minimum means of verification/supporting documents: Community-Based Forest Management Agreement, Certificate of Registration with appropriate government agency, official list of members, documentations/minutes of meetings, resolutions, financial records, official receipts, deeds of sale, project reports, etc.

OBLIGATION #1 – Immediately assume responsibility for the protection of the entire forestlands within the CBFMA area vs illegal logging, unauthorized extraction of forest products, kaingin, forest/grassland fires, and other forms of forest destruction, and assist DENR in the prosecution of violators of forestry and environmental laws.

Question

C.1. What forest/mangrove protection concerns did the community experience before it received the CBFMA? What improved, continued or worsened today?

Check corresponding options under before and today columns. For before, answer may be one or both.

Note: Scope various answers from respondents; stir idea-sharing by reading one or two examples, then scope again; read the list only if respondents mention nothing.

Forest/Mangrove Protection Concern	Condition							
	Existing before receipt of CBFMA		Today when PO has CBFMA					
	By PO Members	Non-PO Members (cite who, in general)	(if existing, use a check [✓] if the "doer" is a PO member; an "x" if it is by a non-PO member)					
			Worst (0)	Worse (1)	Bad (2)	The Same (3)	Better condition (4)	Most Improved / No more of this concerns (5)
1. Illegal logging or illegal mangrove harvesting/cutting		✓						✓ 5
2. Timber poaching		✓						✓ 5
3. Illegal gathering of wildlife (specify use: food, collection or commerce)		✓						✓ 5
4. Illegal gathering of plants & flowers for commerce		✓						✓ 5
5. Unpermitted gathering of NTFPs from communal farms or natural forests for commerce		✓						✓ 5

6. Slash -& burn /kaingin farming		✓						✓	U
7. Deliberate forest/grass fire		✓						✓	J
8. Undeliberate forest/grass fire		✓						✓	U
9. Unpermitted backyard/ small scale mining /quarrying		✓						✓	U
10. Selling of farm rights/ development		✓						✓	U
11. Observance/ dumping of solid & liquid wastes		✓						✓	U
12. Structure/dwellings of untenured settlers		✓						✓	U
13. Charcoal making		✓						✓	U
14. Other concerns, if any more:									US
TOTAL									

C.1.1. Does forest/mangrove protection concerns still exist? (Note: refer to Conditions - Before and After columns of C.1.1 Matrix)

- 0 – All forest/mangrove protection concerns exist today, while some did not exist before receipt of CBFMA
 1 – All forest/mangrove protection concerns and others exist until today with "worst" to "bad" condition
 2 – Some forest/mangrove protection concerns before persisted until today with "the same" condition
 3 – At least half of the forest/mangrove protection concerns are resolved and no longer exist today. Condition is "the same" or "better"
 4 – Almost all forest/mangrove protection concerns and others no longer exist today and have a "better" to "most improved" status
 5 – All forest/mangrove protection concerns and others no longer exist today because of PO's protection strategies with "most improved" status

5

<p>C.1.2. Are PO members involved/not involved in illegal forest activities? (Note: refer to <i>Conditions - Before and After</i> columns of C.1.1 Matrix)</p> <p>0 – PO - and community-members themselves before & until today 1 – Outsiders or non - PO members, supported by influential personalities; PO members serve as paid laborers/ haulers in the illegal activities before and today 2 – Outsiders or non - PO members, supported by influential personalities; no PO member is involved but there are non-PO members that serve as paid laborers/ haulers in the illegal activities before and today 3 – Outsiders or non - PO members, not necessarily supported by influential personalities; some PO members got involved before as paid laborers but no more PO member is involved today 4 – Outsiders or non - PO members, not necessarily supported by influential personalities; all PO members never got involved in such activities before and today</p>	5
<p>C.1.3. Does the CBFM area manifest a more stable condition. (Note: refer to the total points in C.1. matrix)</p> <p>0 – 0 point 1 – 1 - 12 point/s 2 – 13 - 24 points 3 – 25 - 36 points 4 – 37 - 48 points 5 – 49 - 70 points</p>	5
<p>C.2. What is the current condition of the protection forest?</p> <p>0 – PO lost its remaining protection forests after receipt of CBFMA 0 – PO has critically decreasing protection forests at a very fast rate 2 – Average protection forests of the area is less than 50% of its original state before receipt of CBFMA 3 – Average protection forests of the area is 51-70% of its original state before receipt of CBFMA 4 – Average protection forests of the area is 71-90% of its original state before receipt of CBFMA 5 – PO has 91-100% intact protection forest as compared with condition before receipt of CBFMA</p>	4
<p>C.3. What is the current condition of water sources?</p> <ul style="list-style-type: none"> Natural water bodies (check one or more items): creeks falls <input checked="" type="checkbox"/> rivers springs <input checked="" type="checkbox"/> wells others: _____ <p>Name of major watershed tributaries and name of the watershed (if any): _____</p>	<ul style="list-style-type: none"> Note the condition of the water bodies (ex. healthy, polluted, seasonal water, etc.) _____ _____ _____ _____

Rating (refer to the responses in the table above):

- 0 – There is zero natural water source in the CBFM area being managed by the PO; CBFM PO lost all sources of water in the CBFM area; highly polluted water bodies due to people's mismanagement
- 0 – PO wasn't able to sustain potability of old and existing natural water sources; water has become seasonal
- 2 – PO tried to manage and sustain old and existing water sources; PO's management technique helped water be available all-year round, but not potable
- 3 – PO has managed its water sources, but sources have remained or become seasonal, but water is potable
- 4 – PO has managed its water sources, water remained/became available all-year-round and potable
- 5 – PO has managed its water sources and are believed to sustain in the next years

5

C.4. What forest protection strategies/activities did the CBFM PO employ to avoid, act on or resolve forest (or mangrove) problems/concerns? Did the PO sustained those?

N/A

Forest Protection Strategy/Activity	Existing A - None B - Before CBFMA C - After receipt of CBFMA D - Before & After receipt of CBFMA today	Condition of the rule or task force TODAY (✓)				
		0 - No more	1 - Existing but never used	2 - Used/active before but not anymore today	3 - Irregularly followed today	4 - Strictly followed until today & even improved to suit present conditions
1. Established functional protection committee, Bantay Gabat, forest patrol or similar form, with men-women & young-old members (please specify the name of the group: <u>BANTAY GUBAT - deonah 2nd WEO</u>)	C					✓ 5
2. PO imposes sanctions on illegal forest activities (ex. kaingin, deliberate forest fires, selling of rights)	C					✓ 5
3. PO has rules and guidelines on entry & habitation of untenured-families in CBFM areas	C					✓ 5
4. PO segregates, recycles, upcycles forest- liquid- & solid wastes	C					✓ 5
5. PO established facilities for protection, ex. watch tower, fire lines, wind breaks, (please specify: <u>fireline / watch tower</u>)	C					✓ 5
6. PO invested on or solicited forest protection equipment/gadgets, ex. radio, fire swatter (please specify: <u>cellphone - BANTAY GUBAT</u>)	C					✓ 5
7. PO obtained/attended training on forest protection	C					✓ 5
8. PO allocated funds (internal or solicited) for its forest/mangrove protection	A	✓				

- 0 – No forest protection system before and after receipt of CBFMA
- 1 – Used some of the 12 systems appropriate before CBFMA receipt
- 2 – Used all 12 forest protection systems only before CBFMA receipt
- 3 – Used some of the 12 forest protection systems after receipt of CBFMA
- 4 – Used some of the 12 forest protection systems before and after receipt of CBFMA
- 5 – Employed all forest protection systems, with consistency, before and after receipt of CBFMA/today

0 - 0 point
1 - 1-12 point/s
2 - 13-24 points
3 - 25-36 points
4 - 37-48 points
5 - 49-60 points

C.5. What problems or limitations does the CBFM PO have in performing its obligation to protect the entire forestlands within the CBFMA?

ANNEX B

OBLIGATION #2 – Designate areas according to their sustainable use and, when appropriate in accordance with their native customs, traditions and practices, allocate and regulate resource-use rights in accordance with national laws, rules and regulations.

Question
C.6. What were the uses of the land or spaces in the CBFM area BEFORE and AFTER the PO received its CBFMA? Estimate the size of each. What techniques or systems did the PO use to manage these areas? If none, write "none" under the "others".

Rating
N/A

Land use	Check if existent BEFORE the issuance/ receipt of the CBFMA	Est. size (ha)	Check if existent AFTER the issuance/ receipt of the CBFMA	Est. size (ha)	Technologies/methods used to manage the area (encircle the letters of the PO's answers)	Check if indicated in affirmed CRMF and/or approved FYWP (if existing today)
1. Communal Production areas/ Farms			✓	42 has	a. Kaingin ✓ b. Agroforestry c. SALT (1,2,3) d. Use of organic fertilizers e. Seed collection/ reproduction f. Others _____	
2. Individual/ family- based Production areas/ Farms					a. Kaingin b. Agroforestry c. SALT (1,2,3) d. Use of organic fertilizers e. Seed collection & reproduction f. Others _____	
3. Fruit orchards					a. Pruning b. Thinning c. Application of flower booster d. Application of fruit booster application of organic fertilizers e. Application of organic pesticide f. Seed collection & reproduction g. Plant propagation h. Others _____	
4. Non-timber forest products plantation					a. ANR b. Weeding c. Others: _____	
5. Timber Plantation			✓		a. Nursery management b. Pruning	

5. Timber Plantation (continuation)					c. Thinning d. Coppice management e. Seed collection & reproduction f. Use of flower booster g. Use of fruit booster h. Use of organic fertilizers i. Application of inorganic pesticide j. Use of organic pesticide k. Replanting l. Others: _____		
6. Natural forest					a. Assisted Natural Regeneration (ANR) b. Enrichment planting c. Seed/seedling collection & germination d. Others: _____		
7. Communal fuelwood					a. Trimming b. Pruning c. Others: _____		
8. Settlement					a. Waste segregation b. Backyard gardening c. Others: _____		
9. Water bodies for protection/production					a. Water system installation (SWIS/SWID) b. Riverbank rehabilitation c. ANR d. Reforestation e. Others: _____		
10. Others: _____							

C.6.1. Did the PO designate its CBFM area for various (appropriate) land uses? (Note: refer to 2nd to 4th columns of C.4 Matrix)

0 – CBFM PO did not deliberately designate areas for use and is not aware of this obligation. The PO members do not know if the land uses in the CBFM area are appropriate or not.

2 – CBFM PO did not deliberately designate areas for appropriate land use. It maintained what used to be the "set-up" in the community.

4 – There are more spots in the CBFM area now (today versus before) that feature appropriate land uses.

5 – The CBFMA area is like a landscaped area, a window or model of various sustainable uses.

4

C.6.2. Does the PO use practical, culturally-driven and environment-friendly technologies in managing these land uses in order to maintain them? (Note, refer to 2nd to 6th columns of C.4 Matrix)

- 0 – No technologies are being used to sustainably manage the areas designated for particular uses. Or PO uses inappropriate techniques to manage the areas. The areas remain "threatened" or serve as threat to environmental sustainability.
- 2 – The PO is not aware if the techniques it uses are sustainable or not. Most of the methods are found unsustainable.
- 3 – Some of the areas have technologies used to manage them. Others are left idle/unmaintained.
- 4 – The PO retained majority, but not all, areas designated for sustainable uses because of cultural beliefs, but use environment-friendly technologies to manage them.
- 5 – All designated areas have appropriate technologies used to ensure their sustainability.

5

C.6.3. Are these land uses and techniques in the PO's CRMF and FYWP? (Note, refer to 7th columns of C.4 Matrix)

- 0 – PO is not conscious about the CRMF. The land uses are not in the CRMF.
- 3 – PO included some but not all in the CRMF.
- 5 – PO indicated all in the CRMF.

5

C.7. Does the PO allocate and regulate resource-use rights?

Condition		Yes	No
1. PO members seek permission from the PO for farm development		<input checked="" type="checkbox"/>	
2. PO farmers get PO's permission for any big development in the farms within the CBFM area (ex. construction of infrastructure, contract growing)		<input checked="" type="checkbox"/>	
3. PO has rules on harvesting species, schedule, techniques and volume (of agricultural crops, timber and NTFPs) in individual farms		<input checked="" type="checkbox"/>	
4. PO has rules on harvesting species, schedule, techniques and volume (of agricultural crops, timber and NTFPs) in communal areas		<input checked="" type="checkbox"/>	
5. PO practices (or have set up future) monitoring and regulation of such harvesting		<input checked="" type="checkbox"/>	
6. Memoranda of Agreement/Joint ventures are entered into (or agreed to be) by the PO and not by individual farmer/s		<input checked="" type="checkbox"/>	
7. All (present or future) log supply- or NTFP supply contracts with business companies or government are entered into by the PO and not by individual farmer-members.			<input checked="" type="checkbox"/>

Rating (refer to the responses in the matrix above):

- 0 – PO didn't know this obligation, what to do and how to do it. PO neither allocated and regulated resource-use rights, nor consulted DENR.
- 1 – PO set-up a system to regulate resource-use rights but ended up not being followed
- 2 – PO set-up a system and strictly follows at least 1 rule/norm
- 3 – PO set-up a system and strictly follows 2 and 3 rules
- 4 – PO set-up a system and strictly follows majority of the rules
- 5 – PO set-up a system and strictly follows all rules

C.8. Is there a presence of plant combinations/multi-cropping?

Species (check one or more items)		
<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Cash crops (carnote, cassava, banana, short-term vegetables) 	<ul style="list-style-type: none"> <input type="checkbox"/> Exotic forest tree species <ul style="list-style-type: none"> <input type="checkbox"/> <i>A. mangium</i> <input type="checkbox"/> <i>Acacia auriculiformis</i> others: <input checked="" type="checkbox"/> <i>Gmelina</i> <input checked="" type="checkbox"/> Mahogany 	
<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Cereals/grains (rice, corn, adlay) 	<ul style="list-style-type: none"> <input type="checkbox"/> Indigenous forest species <ul style="list-style-type: none"> <input type="checkbox"/> <i>Almaciga</i> <input type="checkbox"/> <i>Apitong</i> <input type="checkbox"/> <i>Bagoadlau</i> (ironwood) <input checked="" type="checkbox"/> <i>Dao</i> others: <input type="checkbox"/> <i>Lauan</i> <input checked="" type="checkbox"/> <i>Narra</i> <input type="checkbox"/> <i>Malapapaya</i> <input type="checkbox"/> <i>Mayapis</i> 	<ul style="list-style-type: none"> <input type="checkbox"/> <i>Tibig</i> <input type="checkbox"/> <i>Yakal</i>
<ul style="list-style-type: none"> <input type="checkbox"/> Temperate vegetables (cabbage, lettuce, carrot, radish, beet) 	<ul style="list-style-type: none"> <input type="checkbox"/> Non-timber forest products (NTFP) <ul style="list-style-type: none"> <input checked="" type="checkbox"/> <i>Bamboo</i> <input type="checkbox"/> <i>Honey</i> others: <input type="checkbox"/> <i>Nipa</i> <input type="checkbox"/> <i>Nito</i> 	<ul style="list-style-type: none"> <input type="checkbox"/> <i>Pandan</i> <input checked="" type="checkbox"/> <i>Rattan</i>
<ul style="list-style-type: none"> <input type="checkbox"/> Perennial agri-crops /fruit trees: <ul style="list-style-type: none"> <input type="checkbox"/> <i>Abaca</i> <input checked="" type="checkbox"/> <i>Cacao</i> <input checked="" type="checkbox"/> <i>Coconut</i> <input checked="" type="checkbox"/> <i>Coffee</i> <input type="checkbox"/> <i>Durian</i> <input type="checkbox"/> <i>Lanzones</i> <input type="checkbox"/> <i>Mangosteen</i> <input type="checkbox"/> <i>Palm oil</i> <input type="checkbox"/> <i>Pili</i> <input type="checkbox"/> <i>Rambutan</i> <input type="checkbox"/> <i>Rubber tree</i> others: <input type="checkbox"/> 	<ul style="list-style-type: none"> <input type="checkbox"/> Others: <input type="checkbox"/> 	

Rating (refer to the responses in the matrix above):

- 0 – There is purely monocropping of agri-crops in all farms inspected
- 1 – Majority have more cash crops than perennials and forest products (both NTFPs and forest trees)
- 2 – Majority have a combination of agri-products and forest products, but there are more exotic forest species
- 3 – Majority have a combination of agri-products and forest products, but there are more NTFPs and exotic forest species than indigenous forest species
- 4 – Majority have a combination of agri-products and forest products but there are more indigenous forest species than exotic ones
- 5 – All have a balanced and sustainable combination of agri-crops and forest products, where indigenous forest species are more abundant than exotic forest species

5

C.9. Are harvesting and production in individual and communal production farms are operational by this time?

- 0 – Majority of farms visited have no traces of any harvesting yet; plants and trees are still very young
- 1 – Majority have plants blossoming/bearing fruits but with no signs of harvesting so far of perennial agri-crops; plants or trees are ready for harvest soon
- 2 – Majority have plants (agri-crops and NTFPs) being harvested since five (5) years back
- 3 – Majority have plants (agri-crops and NTFPs) being harvested for the last 10 years and more
- 4 – Majority have forest trees other than agri-crops and NTFPs being harvested since five (5) years back
- 5 – Majority have forest trees other than agri-crops and NTFPs being harvested since 10 years back ; OR the PO have overgrown harvestable trees in their production areas but prefers to keep them conserved and protected

5

C.10. What is the area or percentage (%) covered for overall productive/sustainably developed area of the Production Forests in the CBFMA (or the sampling individual and communal areas):

- 0 – Below 50%
- 1 – Covers 50-60% of the area
- 2 – Covers 61-70% of the area
- 3 – Covers 71-80% of the area
- 4 – Covers 81-90% of the area
- 5 – Covers 91-100% of the area

4

Sub-total (Obligation #2)

33

C.11. What problems or limitations does the CBFM PO have in performing its obligation to designate areas according to their sustainable use and allocate and regulate resource-use?

-

OBLIGATION #3 – Prepare and implement CRMF and FYWP with assistance from DENR and LGU	
Question	Rating
<p>C.12. Does the PO have both an affirmed Community Resource Management Framework (CRMF) and an approved/affirmed Five-Year Work Plan (FYWP)?</p> <p>0 – PO doesn't have both CRMF and FYWP 2 – PO has FYWP only 4 – PO has CRMF only 5 – PO has both CRMF and FYWP</p> <p>Note:</p> <p><i>If response in Question C.12, is from "Ratings 0 to 4", Questions C.13 to C.16 should be rated "0". If response in Question C.12, is "PO has both CRMF and FYWP", rate Questions C.13 to C.16.</i></p>	0 for updating
<p>C.13. Did the PO regularly update its CRMF and FYWP?</p> <p>2 – PO has only one set of CRMF & FYWP since the receipt of CBFMA. 3 – PO has regularly updated its FYWP every five years, thus a total of 3-4 FYWPs, and a CRMF waiting updating using FMB Technical Bulletin No. 20. 4 – PO has an updated CRMF using FMB Technical Bulletin No. 20. 5 – PO has regularly updated its CRMF & FYWP every five years, thus a total of 3-4 CRMFs and FYWPs, with one CRMF possibly updated using FMB Technical Bulletin No. 20. CRMF and FYWP is either approved/affirmed or substantially covered steps in affirmation and approval.</p>	5
<p>C.14. When was the first CRMF and FYWP affirmed/approved?</p> <p>1 – Within 11-25 years after receipt of CBFMA 2 – Within 11-15 years after receipt of CBFMA 3 – Within 6-10 years after receipt of CBFMA 4 – Within 1-5 years after receipt of CBFMA 5 – Within the year after receipt of CBFMA</p>	4
<p>C.15. Who formulated the PO's CRMF and FYWP?</p> <p>1 – DENR staff for the PO 1 – LGU or other partners (ex. NGO) for the PO 1 – A paid consultant-writer for the PO 3 – The PO with DENR's help 4 – The PO with LGU/GO/DENR's help 5 – A PO Planning team of men-women, young and old, through consultation with the GA</p>	5

C.16. How the CRMF and FYWP were developed?

- 0 – Without consultation with the PO
- 1 – With consultation with only the PO president
- 2 – With consultation with the PO officers only
- 3 – With consultation with the PO officers and selected members (mostly men and adults)
- 4 – With consultation with the GA
- 5 – With consultation with the GA and other stakeholders

5

Sub-total (Obligation #3)

19

C.17. What problems or limitations does the CBFM PO have in performing its obligation of formulating CRMF and FYWP?

OBLIGATION #4 – Formulate and implement benefit sharing schemes among PO members.

OBLIGATION #4 – Formulate and implement benefit sharing schemes among PO members.																			
Question	Rating																		
<p>C.18. Does the PO practice benefit sharing?</p> <p>0 – PO does not practice benefit sharing 2 – PO members are not sure if what they are practicing is already "benefit sharing". Nonetheless, informal sharing of benefits is practiced. 5 – PO members are sure that the PO practices benefit sharing</p> <p><i>Note: CAPBAS DO COOP Sharing</i></p> <p><i>If response in Question C.18 is from "Ratings 0 or 2", C.19 to C.23 should be rated "0".</i> <i>If response in Question C.18 is "PO members are sure that the PO practices benefit sharing", rate Questions C.19 to C.23.</i></p> <p>C.19. Did the PO make benefit sharing a formal system so it will be followed through generations?</p> <p>2 – Not written 3 – Indicated in the minutes of meeting/s 4 – In board resolution taken from minutes of meetings 5 – In board resolution & written guidelines</p> <p>C.20. Does the PO have a variety of benefits shared to its members?</p> <p>Type (✓): <input type="checkbox"/> Monetary <input type="checkbox"/> Material <input checked="" type="checkbox"/> Non-material</p> <p>Mode (✓):</p> <table><tr><td><input type="checkbox"/> Dividend</td><td><input type="checkbox"/> Wages/Employment</td><td><input type="checkbox"/> Honoraria</td></tr><tr><td><input type="checkbox"/> Free Training</td><td><input type="checkbox"/> Traveling Allowance</td><td><input type="checkbox"/> Insurance</td></tr><tr><td><input type="checkbox"/> Rebate</td><td><input type="checkbox"/> Medical Benefits</td><td><input type="checkbox"/> Scholarships</td></tr><tr><td><input type="checkbox"/> Others:</td><td></td><td></td></tr><tr><td></td><td><input type="checkbox"/> Gifts</td><td><input type="checkbox"/> Zero-interest Loan</td></tr><tr><td></td><td><input type="checkbox"/> Mortuary Assistance</td><td><input type="checkbox"/> Free Uniform</td></tr></table> <p>Rating (Note: refer to the matrix above):</p> <p>1 – PO sees it is enough to share non-material benefits 2 – PO distributes inexpensive material benefits 3 – PO distributes a combination of at least 2 benefits with a cost estimate of P5,000 per beneficiary 4 – PO distributes more than 2 benefits with cost estimate of P5,001-P10,000 per beneficiary 5 – PO distributes benefits with cost estimate of more than P10,000 per beneficiary</p>	<input type="checkbox"/> Dividend	<input type="checkbox"/> Wages/Employment	<input type="checkbox"/> Honoraria	<input type="checkbox"/> Free Training	<input type="checkbox"/> Traveling Allowance	<input type="checkbox"/> Insurance	<input type="checkbox"/> Rebate	<input type="checkbox"/> Medical Benefits	<input type="checkbox"/> Scholarships	<input type="checkbox"/> Others:				<input type="checkbox"/> Gifts	<input type="checkbox"/> Zero-interest Loan		<input type="checkbox"/> Mortuary Assistance	<input type="checkbox"/> Free Uniform	<p>5</p> <p>3</p>
<input type="checkbox"/> Dividend	<input type="checkbox"/> Wages/Employment	<input type="checkbox"/> Honoraria																	
<input type="checkbox"/> Free Training	<input type="checkbox"/> Traveling Allowance	<input type="checkbox"/> Insurance																	
<input type="checkbox"/> Rebate	<input type="checkbox"/> Medical Benefits	<input type="checkbox"/> Scholarships																	
<input type="checkbox"/> Others:																			
	<input type="checkbox"/> Gifts	<input type="checkbox"/> Zero-interest Loan																	
	<input type="checkbox"/> Mortuary Assistance	<input type="checkbox"/> Free Uniform																	

C.21. Does the PO follow standards and criteria in selecting beneficiaries of certain benefits?

- 0 – The PO does not have rules, criteria and standard process in selecting beneficiaries of PO benefits
- 1 – PO follows the recommendations of DENR and other stakeholders of who to consider as "beneficiaries" of some benefits
- 2 – PO set criteria and process in selecting beneficiaries who shall benefit from what, but these have not yet been used
- 3 – PO set criteria and process in selecting beneficiaries who shall benefit from what, but these are not strictly followed yet
- 4 – PO set criteria and process in selecting beneficiaries who shall benefit from what and these are religiously followed by the PO
- 5 – PO set criteria and process in selecting beneficiaries and these are religiously followed and updated by the PO to match the present conditions

5

C.22. Who are the beneficiaries / How are the benefits distributed?

- 0 – Only to officers
- 1 – Only to officers, relatives of officers and popular members of the PO
- 2 – Equally to all members of the PO
- 3 – To the most-needy families in the PO
- 4 – Equitably to the members of the PO
- 5 – Equitably to members (priority), as well as other community/non-PO Members

4

C.23. How did the PO design a benefit sharing (BS) system?

- 1 – Dictated by DENR field workers
- 2 – Copied from or based on a pro forma of an NGO, agency or other PO
- 3 – Through consultation/s with the PO's general assembly (GA)
- 4 – With approval from the GA
- 5 – Through a PO resolution

4

Sub-total (Obligation #4)

34

C.24. What problems or limitations does the CBFM PO have in performing its obligation of formulating benefit sharing system?

OBLIGATION #5 – Pay required forest charges and other fees	
Question	Rating
<p>C.25. Did the PO harvest (timber/NTFPs) from natural forests within the CBFM area (before CY 2011) <i>[✓]</i>?</p> <p><input checked="" type="checkbox"/> No/Never <input type="checkbox"/> Yes</p> <p>Note:</p> <p>If response in Question C.22 is "No/Never", skip Questions C.26 to C.27 and indicate "N/A" in the "Rating" column. If response in Question C.22 is "Yes", rate Questions C.26 to C.27.</p>	N/A
<p>C.26. Did the PO follow rules on harvesting from natural forests before CY 2011?</p> <p>0 – PO harvested from natural forests before CY 2011 and never remitted government share despite their knowledge or information about it 1 – PO harvested from natural forests before 2011 but never remitted government share due to lack of information 3 – PO harvested from natural forests before 2011, remitted government share but not completely or fully 5 – PO harvested from natural forests before 2011, remitted appropriately what is due to the government</p>	5
<p>C.27. Did the PO pay forest charges and other fees from harvesting from natural forests before CY 2011?</p> <p>0 – No/Never 5 – Yes</p>	5
Sub-total (Obligation # 5)	10
C.28. What problems, concerns or limitations does the CBFM PO have in performing its obligation to pay forest charges and other fees?	

OBLIGATION #6 – Provide the share due to government from forest products harvested from the plantations established using government funds or plantations established by former TLA Holders

Question	Rating
<p>C.29. Is the CBFM PO harvesting forest products now from those plantations established through government funds [✓]?</p> <p> <input checked="" type="checkbox"/> No/Never <input type="checkbox"/> Not yet <input type="checkbox"/> Yes </p>	<p>N/A</p>
<p>Note:</p> <p>If response to Question C.29 is "No/Never" or "Not yet", skip Questions C.30 and C.31 and indicate "N/A" in the "Rating" column.</p> <p>If response to Question C.29 is YES, rate Questions C.30 and C.31</p>	
<p>C.30. Does PO recognize that there are government-funded plantations and they have an obligation to give a share to the government?</p> <p> 0 – PO knows the obligation, will soon harvest/have already started harvesting but there's been no (plan or effort to) remit government share 0 – PO is harvesting or planning to harvest timber but does not know the obligation of government sharing 0 – PO is harvesting or planning to harvest timber but are not aware if plantations are government-funded or not 1 – PO has not yet harvested timber and has not remitted government share yet because of sales are not yet collected or funds are not enough for the share 2 – PO has begun harvesting timber and remitted government share but only when such became a requirement by DENR before a project can be awarded to the PO 2 – PO shared when demand letter from DENR was received 3 – PO shared when DENR informed/educated them about it 4 – PO shared when the PO had money from its sales, savings, etc. 5 – PO willingly and regularly shared since harvesting began </p>	<p>✓</p>
<p>C.31. Does the PO follow a legitimate and transparent formula and disbursement system?</p> <p> 0 – PO follows no formula for the government share; DENR dictated and computed amount to be shared 0 – PO doesn't know government entity to share with; PO shares to various offices of DENR, including LGU 0 – LGU dictated the amount for the "government share"; PO doesn't know & understand the formula 2 – DENR dictated and computed amount to be shared; PO knows & understands the formula 4 – PO consulted DENR (and other partners) regarding production sharing formula 5 – PO officers designed and agreed on the production sharing system formula; consulted the GA; put it into a resolution and implemented it </p>	<p>✓</p>
<p>Sub-total (Obligation #6)</p>	<p>_____</p>

C.32. What problems, concerns or limitations does the CBFM PO have in performing its obligation to prepare and share from forest plantations to the government?

OBLIGATION #7 – Ensure the activities conducted under a joint venture undertaking with private and government entities or individuals for the development of portions, or the entire CBFM area, are consistent with the CRMF

C.33. Does the PO have any Joint Venture or partnership with private or government entities?

Question

Rating

N/A – None / In the process of approval
5 – Yes

If yes, what are the details of the joint venture of partnership?

Company/agency/person	Type (number)*	Brief description	Within the PO's CRMF? (check)	Who owns the joint venture/ project?
Department of Agriculture	2, 3, 4, 5	2	✓	PO Members

*Type:

1. Timber plantation for log supply
2. Perennial agri-crop plantation & marketing
3. Vegetable crop production & marketing
4. Vegetable production for seed production
5. Fruit plantation/orchard and marketing/supply
6. NTFP production and supply

7. Mineral exploration
8. Mining
9. Biodiversity documentation
10. Ecotourism development, promotions & marketing
11. Research
12. Telecommunications
13. Others : _____

*Brief Description:

1. Terminated for not-so-good reasons
2. On-going
3. Repeated in cycles
4. Completed

Note:

If response to Question C.33 is "None" or "In the process of approval, skip Questions C.33.1 to C.38 and indicate "N/A" in the "Rating" column.
If response in Question C.33 is YES, rate Questions C.33 to C.38.

<p>C.33.1. Within the PO, who are owning, controlling and regulating the Joint Venture or partnership?</p> <p>0 – One person, family or clan 1 – Selected individuals/relatives 2 – Some or all officers only 3 – Some or all officer but is included in the CRMF 4 – Officers and some active PO members (ex. men-women, young-old) 5 – The whole CBFM PO General Assembly</p>	4
<p>C.34. Was the CBFM PO General Assembly consulted in every joint venture or partnership?</p> <p>0 – No 5 – Yes</p>	5
<p>C.35. Who negotiated the joint venture or partnership?</p> <p>0 – The DENR and/or other stakeholders negotiated for the PO. 1 – PO Officer/s. The PO was not informed about it and of the results. The PO does not know if the joint venture is/ was for the PO or the officers only. 2 – The officers and other stakeholders assisted during the negotiation. 3 – The officers and some selected members. Other stakeholders coached during preparation. 4 – The officers and some selected members without direct coaching from stakeholders. 5 – PO representatives, not only the officers, are also assigned to negotiate the joint venture.</p>	3
<p>C.36. Were the PO members, not just the officers, assigned with roles and tasks in the joint venture or partnership (✓)?</p> <p>_____ No (0) _____ Yes</p> <p>If yes, how did the PO members take part?</p> <p>0 – not take part, in any manner, or except as silent attendees in meetings 1 – participate as volunteers but unclear of communal benefits in the future 2 – participate as volunteers with clear communal and personal benefits in the future 3 – take part as paid laborers 4 – participate as paid workers with more benefits to obtain in the future 5 – take part as decision makers, implementers and beneficiaries with expectations of both immediate and long-term communal and personal benefits</p>	5

C.37. Did the PO install a feedback mechanism to inform the members of the Joint Venture or partnership results?

- 0 – No
 3 – Yes, not written
 5 – Yes, written (e.g. stipulated in the MOA/JVA, supported by a PO resolution, etc.)

3

C.38. Did PO regularly report updates to the "other party" in the joint venture or partnership?

- 0 – No
 3 – Yes, thru informal means (e.g. phone call, mobile messaging, etc.)
 5 – Yes, thru written reports

3

Sub-total (Obligation #7)

33

C.39. What problems, concerns or limitations does the CBFM PO have in performing its obligation to ensure joint ventures are within one's CRMF?

ANNEX B

OBLIGATION #8 – Recognize the rights of occupancy through the granting of individual certificate of stewardship (CS) based on the census of actual forest occupants, provided that the area is within the CBFM area applied for and the CS applicant is a regular member of the PO. The PO, through a resolution shall submit a list of CS issued to individual members to the CENRO for affirmation.

Question	Rating
<p>C.40. Did the CBFM PO recommend to the CENRO the awarding of occupancy rights (CS)?</p> <p>N/A – No 5 – Yes</p>	5
<p>Note:</p> <p><i>If response in Question C.41 is "No" or "Not sure", skip Questions C.41 to C.42 and indicate "N/A" in the "Rating" column.</i></p> <p><i>If response in Question C.41 is "Yes", rate Questions C.42 to C.44</i></p>	
<p>C.41. Is there a list of awardees (of the document) that the CBFM PO submitted to DENR?</p> <p>0 – No 5 – Yes</p>	5
<p>C.42. Are these CS issued by the local DENR office (CENRO/PENRO)?</p> <p>0 – No 5 – Yes</p>	5
Sub-total (Obligation #8)	15

C.43. What problems, concerns or limitations does the CBFM PO have in performing its obligation to recognize the rights of occupancy by distributing individual certificate of stewardships?

OBLIGATION #9 – Follow all duly-promulgated laws, rules and regulations pertinent to forest management.

Question

C.44. Did the CBFM PO follow rules, laws and regulations on forest management?

Rating

Rule, policy, law	Followed (✓)	Not followed (✓)	Why (not followed)
1. No harvesting in natural and residual forests/mangroves	✓		
2. All area developments must be based on the PO's CRMF / FYWP	✓		
3. No kaingin work	✓		
4. No planting of illegal plants	✓		
5. Chainsaws must have permit	✓		
6. No harvesting of planted trees without permit	✓		
7. No transporting and selling of logs without log supply contract	✓		
8. No processing of logs by PO without WPP	✓		
9. No collection, selling of endangered forest species	✓		
10. No encroachment/squatting in CBFM areas of untenured (new) entrants	✓		
11. No selling of portion/s of forestlands within CBFMA	✓		
12. Small scale mining must have permits	✓		
13. Others: _____			

Rating (refer to the responses in the matrix above):

- 0 – PO does not know any of the rules, policies, laws listed in the table (0)
 1 – PO followed only few (1-5)
 3 – PO follows some (6- 11/12)
 5 – PO dutifully follows all rules, policies, laws in the table (12/13)

Sub-total (Obligation #9)

5

C.45. What problems, concerns or limitations does the CBFM PO have in performing its obligation to follow all duly-promulgated laws, rules and regulations pertinent to forest management?

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Evaluated by:

- Names, designations and signatures of the Members of the Performance Evaluation Team for CBFM (PET-CBFM)
- Date

Maureen D. Andaya

Noted by:

- Name and signature of CENR or PENR Officer
- Date

Respondent (CBFM PO):

- Name, designation and signature of the PO Chairperson/Officer/Representative
- Date

Joselle S. Balbin
CBFM Palbong PO Chairman

SUMMARY OF RATINGS (SUB-TOTAL)

A. About the PO and CBFMA		Rating
A.1		4
A.2		4
A.3		5
A.4		5
A.5		N/A
A.5.1		5
A.5.2		5
A.5.3a		5
A.5.3b		5
A.5.4		5
A.5.5		5
A.5.6		5
Sub-total		53

B. Awareness of CBFM PO's Obligations		Rating
B.1		5
Sub-Total		5

C. Obligations of CBFMA Holders		Obligation #1	Rating
C.1			N/A
C.1.1			5
C.1.2			5
C.1.3			5
C.2			4
C.3			4
C.4			N/A
C.4.1			4
C.4.2			4
C.5			N/A
Sub-total			30

C. Obligations of CBFMA Holders		Obligation #2	Rating
C.6			N/A
C.6.1			4
C.6.2			5
C.6.3			5
C.7			5
C.8			5
C.9			5
C.10			4
C.11			N/A
Sub-total			33
		Obligation #3	
C.12			0
C.13			5
C.14			4
C.15			5
C.16			5
C.17			N/A
Sub-total			19
		Obligation #4	
C.18			5
C.19			3
C.20			6
C.21			7
C.22			4
C.23			4
C.24			N/A
Sub-total			36

Sub-total

5

C. Obligations of CBFIMA Holders		Rating
Obligation #5		
C.25		N/A
C.26		N/A 5
C.27		N/A 5
C.28		N/A 5
		N/A
Sub-total		10
Obligation #6		
C.29		N/A
C.30		N/A
C.31		N/A
C.32		N/A
Sub-total		0
Obligation #7		
C.33		
C.33.1		
C.34		5
C.35		5
C.36		5
C.37		5
C.38		5
C.39		
Sub-total		33
Obligation #8		
C.40		5
C.41		5
C.42		5
C.43		N/A
Sub-total		15

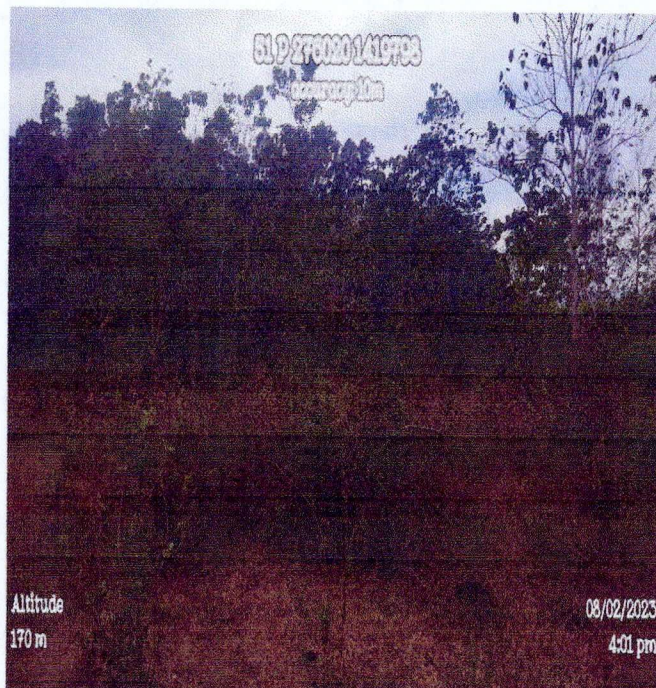
C. Obligations of CBFIMA Holders		Rating
Obligation #9		
C.44		5
C.45		N/A
Sub-total		5

SUMMARY OF RATINGS (GRAND TOTAL)

[a] Section	[b] No. of Questions/Items with Rating (excluding those with "N/A")	[c] Sub-total (refer to Summary of Ratings [Sub-total])
A. About the PO and CBFMA	12	53
B. Awareness of CBFM PO's Obligations	1	5
C. Obligations of CBFMA Holders		
Obligation 1	10	32
Obligation 2	9	33
Obligation 3	5	19
Obligation 4	6	26
Obligation 5	3	10
Obligation 6	4	0
Obligation 7	7	33
Obligation 8	3	15
Obligation 9	1	5
Grand Total	61	231
Score: (c + b) * 20		75.73
Adjectival Rating:		

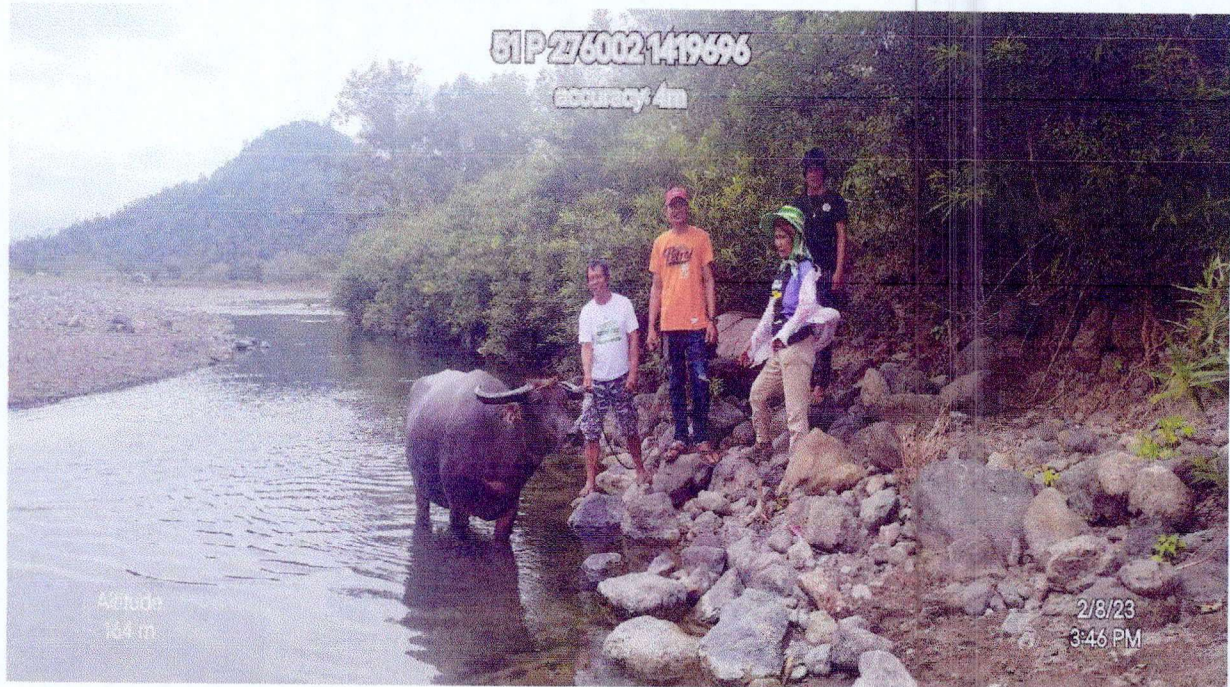
Recommendations:

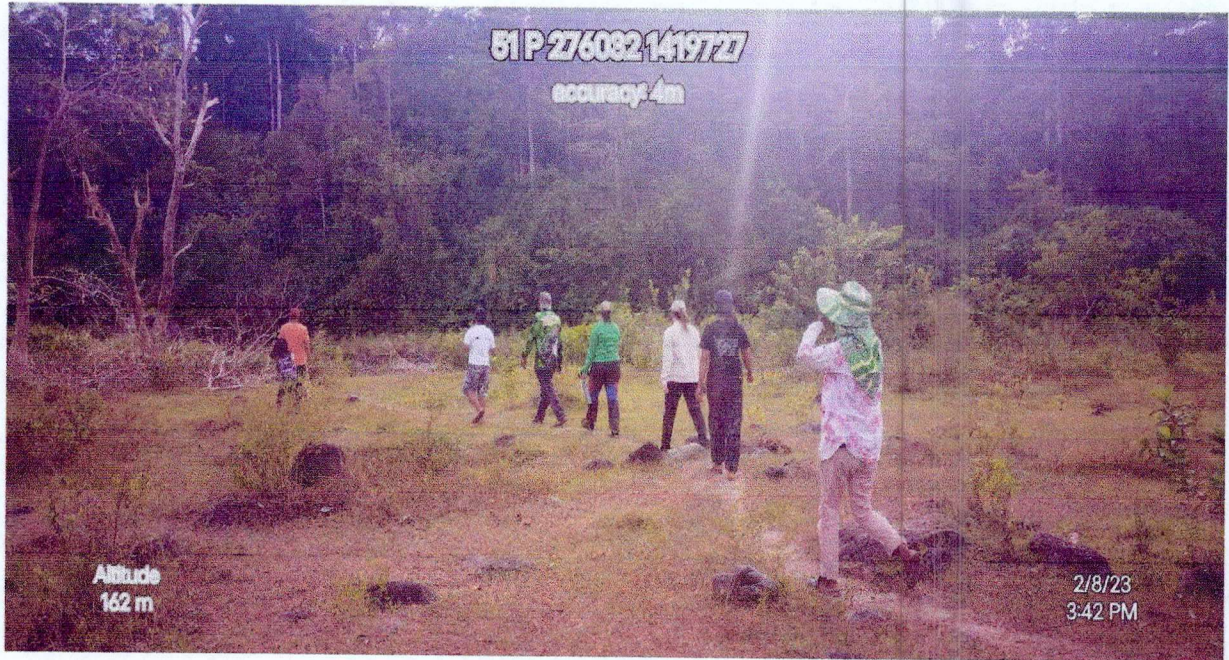
Based on the findings, the CBFMA holder fully execute the terms & conditions stipulated in the agreement particularly on the management conservation & protection of the CBFM area. Therefore, a re-evaluation of CBFM is recommended to be conducted by Regional Performance Evaluation Team as per FMIB Technical Bulletin No. 74.

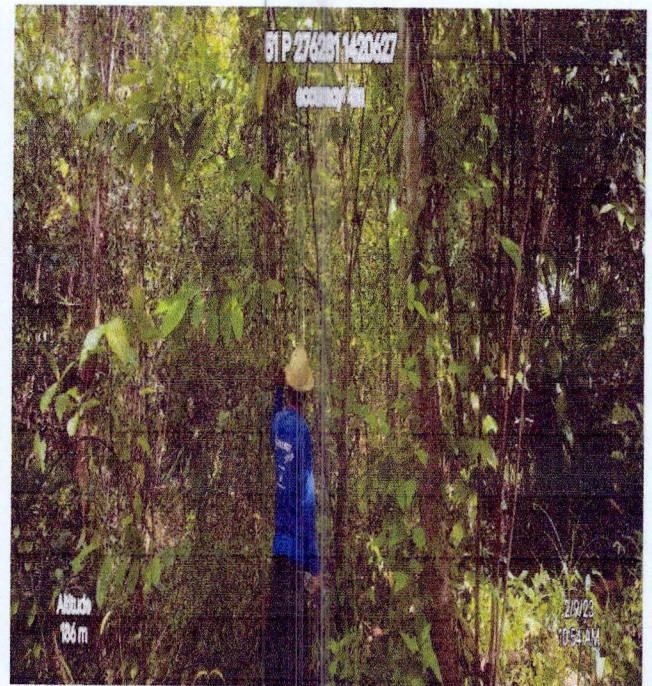


30 hectares Agro-Forestry and Bamboo Plantation Development Project planted with bamboo and Gmelina









P



Cacao and Bamboo Plantation

